# Minnesota Elections Post-Election Review Guide



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## **1.0 INTRODUCTION**

This guide is designed for election officials and their staff who may conduct a post-election review of voting systems (PER). This guide should be used along with the Office of the Minnesota Secretary of State (OSS) publication "Minnesota Election Laws." Citations in this guide refer to the Minnesota election laws (M.S. citations) or rules (M.R. citations). Full text of the Minnesota election laws and rules can be found at the <u>Minnesota Office of the Revisor of Statutes</u> (https://www.revisor.mn.gov/). If using an electronic version of this guide, simply click on the citations to retrieve current statute or rule.

Portions of this guide contain procedures based on best practices, rather than statute or rule. If employing these portions, do not consider the information to hold the same authority as that information governed by federal or state law.

This guide focuses solely on the processes and procedures related to the PER. Please contact this office if you have comments on how this publication could better support the needs of election administrators.

For a more comprehensive view of election administration in Minnesota refer to the following election guides: County Election Administration Guide, City Clerk Election Guide, Town Clerk Election Guide, and School District Election Guide. These guides can be found at the <u>OSS Election Guides</u> webpage located at (https://www.sos.state.mn.us/election-administration-campaigns/election-administration/election-guides/).

## 2.0 WHAT IS THE PER?

The post-election equipment review or post-election review (PER) is a manual recount (or "audit") of the paper ballots of randomly-selected precincts for specific offices following each state general election. The review compares the hand count of the ballots with the results from the electronic voting system to determine if the counting accuracy of the voting system meets a defined standard (see section 6.0).

The PER is mandated for the offices of President or Governor, United States Senator, and United States Representative. However, if one of these offices is the subject of a recount (as provided in <u>M.S. 204C.35</u>, subdivision 1), no review is required for that office. The PER official may conduct a post-election review of the votes cast for additional offices as well. <u>M.S. 206.89</u>, subd. 2a; 206.89, subd. 3

The review official must submit the results of the review in writing to the county auditor. The auditor must then immediately submit the results of the post-election review electronically or in writing to the secretary of state not later than two days before the State Canvassing Board meets to canvass the state general election. <u>M.S. 206.89, subd. 6</u>

#### **2.1 REVIEW NOTIFICATION**

The county auditor must notify the Secretary of State of:

- the location, date, and time of the PER after the county's state primary canvassing board meeting
- the precincts chosen for the PER after the county's state general canvassing board meeting

See sections 4.3 and 5.1.1 for process steps.

For the PER, at least four precincts must be selected within each congressional district statewide. If the county selection process has not resulted in this condition being met, the Secretary of State may require counties to select by lot additional precincts to meet the congressional district requirement.

## **3.0 WHO CONDUCTS THE PER?**

The county auditor is the PER official unless the auditor designates the municipal clerk as the PER official within 24 hours after the canvass of the state general election. <u>M.S. 206.89, subd. 1</u>

#### **3.1 REVIEW EXPENSES**

The cost of conducting the PER must be allocated as follows:

- The governing body responsible for each precinct selected for review must pay the costs incurred for the initial review and any needed additional reviews at the precinct and county levels.
- If a district-wide review must be conducted, the Secretary of State must reimburse local units of government for the costs of the district-wide review; and
- The vendor of the voting system must pay any costs incurred by the Secretary of State to examine and recertify the voting system. <u>M.S. 206.89, subd. 9</u>

## 4.0 WHEN AND WHERE IS THE PER HELD?

The date, time, and place of the post-election review of the state general election is set at the canvass of the state primary by each county canvassing board. <u>M.S. 206.89, subd. 2</u>

#### 4.1 DATE OF PER

The date selected by the county canvassing board must be within a statutorily defined time period: The PER must not begin before the  $11^{\text{th}}$  day after the state general election. The PER must be completed no later than the  $18^{\text{th}}$  day after the state general election, two days before the meeting of the State Canvassing Board. <u>M.S. 206.89, subd. 2</u>

Consider the following factors when selecting a date for the PER:

- Does it allow for the time necessary for escalation if escalation is called for
- Where does it fall in relation to holidays and weekends

See Appendix A for an example Determination of Post Election Review for use at the canvass board meeting.

#### 4.2 LOCATION OF PER – FACILITIES, ACCESSIBILITY, & EQUIPMENT

All post-election reviews must be accessible to the public. Each election jurisdiction where a review is conducted shall make adequate accessible space and all necessary equipment and facilities available without charge to the review official or body conducting the review. <u>M.S. 206.89, subd. 3</u>; <u>M.R.</u> <u>8235.0600</u>

#### **4.3 LDT NOTIFICATION**

The county auditor must immediately notify OSS of the PER **Location**, **Date and Time** (LDT) set at the primary canvass. Instructions on how to notify OSS will be provided to county election administrators. The post-election review details for each county will be posted on the OSS <u>Post-Election Reviews</u> webpage (https://www.sos.state.mn.us/elections-voting/how-elections-work/post-election-reviews/). <u>M.S. 206.89, subd. 2</u>

## **5.0 WHICH PRECINCTS ARE PART OF THE PER?**

At the canvass of the state general election, the county canvassing board must select the precincts to be reviewed by lot. The number of precincts that must be selected is determined by the size of a county's registered voter population. Refer to the table directly below.

Registered Voter Count	Number of Precincts to Review
<50,000	At least 2
50,000 - 100,000	At least 3
>100,000	At least 4 or 3% of total number of precincts, whichever is greater

The ballots to be reviewed for a precinct must include **both** the ballots counted at the precinct's polling place and the absentee ballots counted centrally by a ballot board for that precinct. At least one precinct selected must have had more than 150 votes cast at the state general election. The count of votes cast is the combined total of polling place votes and absentee votes. <u>M.S. 206.89, subd. 2</u>

If the required number of precincts have been drawn and none have more than 150 votes cast, an additional precinct with at least 150 votes cast must be selected. To do this, remove the precincts where less than 150 votes were cast from the pool of undrawn precincts. Draw an additional precinct from this narrowed pool. Include this precinct with those already selected.

#### **5.1 NOTIFICATION OF PRECINCTS SELECTED**

The county auditor must notify the Secretary of State of the precincts chosen for the PER. Notification to OSS is made by marking the precincts selected for review in the Election Reporting System (ERS). See section 5.1.1 for the steps to specify the precincts in ERS.

As indicated in section 2.1, Statewide at least four precincts must be selected within each congressional district. If the county selection process has not resulted in this condition being met, the Secretary of State may require counties to select additional precincts by lot to meet the congressional district requirement.

#### 5.1.1 ERS Steps

ERS User Documentatio	-		
Precinct Voting System	Data		
Results Upload Status			
Results Reports			
Abstracts			
Post Election Review	>		
Recount			
Post Election Review ( Select PER Precincts Print PER Worksheet Enter PER Precinct Details Enter PER Results Print PER Proofing Report Print PER Results	PER)		
	Select PI	ER Precincts	
Precincts			
		0045 - 0045 - 5-B ZIPPEL UNORG	0065 - 0065 - 2-C UNORG
0005 - 0005 - 1-A BAUDETTE UNORG	0031 - 0031 - 3-C S MCDOUGALD UNORG		
0010 - 0010 - 1-8 BAUDETTE CITY	0035 - 0035 - 3-D FOREST UNORG	0046 - 0046 - 4-C N MCDOUGALD UNORG	0070 - 0070 - 5-C NW ANGLE
0015 - 0015 - 2-A GUDRID UNORG	0040 - 0040 - 4-A WHEELER UNORG	🗌 0050 - 0050 - 5-A UNORG	0075 - 0075 - 5-D ROOSEVELT
0020 - 0020 - 2-8 BAUDETTE CITY	0044 - 0044 - 4-B WABANICA UNORG	0055 - 0055 - 3-B WILLIAMS CITY	0080 - 0080 - 3-E BAUDETTE CITY
🗌 0030 - 0030 - 3-A UNORG			
			Save Back

Figure 1 Selecting the PER precincts in ERS

## 6.0 STANDARD OF ACCEPTABLE PERFORMANCE BY A VOTING SYSTEM

As stated above, the PER compares the hand count of the ballots with the results from the electronic voting system to determine if counting accuracy of the voting system meets a defined standard. The comparison of the results from the voting system and the manual count done during the PER must be accurate to within the standards for acceptable performance outlined in <u>M.S. 206.89</u>, <u>subd. 4</u> and included in section 6.1 of this guide.

#### **6.1 ADDITIONAL REVIEW**

**\*Note:** Standards for acceptable performance by the voting system were updated in 2021 and are reflected below.

The results of the post-election review cannot differ from the electronic voting system count by more than the following thresholds:

- no more than two votes in a precinct where fewer than 1,200 voters cast ballots
- no more than three votes in a precinct where between 1,200 and 1,599 voters cast ballots
- no more than four votes in a precinct where between 1,600 and 1,999 voters cast ballots
- no more than five votes in a precinct where 2,000 or more voters cast ballots.

Valid votes that have been marked by the voter outside the vote targets or using a manual marking device that cannot be read by the voting system must not be included in making the determination whether the voting system has met the standard of acceptable performance for any precinct. <u>M.S.</u> <u>206.89, subd. 4</u>

If the PER in one of the reviewed precincts reveals a difference greater than the thresholds outlined above, then a second level of review is necessary.

#### 6.1.1 Level Two Review – Additional Precincts in County

When a second level of review is required, the PER official must, within two days, conduct an additional review of the races of President or Governor; U.S. Senator; and U.S. Representative in at least three precincts in the same jurisdiction where the discrepancy was discovered. If all precincts in that jurisdiction have been reviewed, the county auditor must immediately and publicly select by lot at least three additional precincts for review. The review official must complete the additional review within two days after the precincts are selected and report the results immediately to the county auditor.

If the level two review indicates a difference in any of the reviewed precincts that is greater than the thresholds specified in <u>M.S. 206.89</u>, <u>subd. 4</u>, then a third level of review is necessary.

#### 6.1.2 Level Three Review – All Remaining Precincts in County

When a third level of review is necessary, the county auditor must conduct a review of the ballots from all remaining precincts in the county for the races of President or Governor, U.S. Senator, and U.S. Representative. This review must be completed, and the results must be reported to the secretary of state within one week after the level two review is completed.

If the results from the countywide reviews from one or more counties together comprise more than 10 percent of the total number of people voting in the election clearly indicate that an error in vote counting has occurred, then a fourth level of review is necessary.

#### 6.1.3 Level Four Review – All Precincts in District

The secretary of state must notify the PER official of each county in the district that they must conduct manual recounts of all ballots in the district for the affected office. This manual recount is conducted using the procedure found in <u>M.S. 204C.35</u>. This review must be completed, and the results reported to the appropriate canvassing board within two weeks after the PER official received notice from the secretary of state. <u>M.S. 206.89, subd. 5</u>

## **7.0 GENERAL PROCEDURES**

This portion of the guide contains procedures based on best practices, rather than statute or rule. If employing these portions, do not consider the information to hold the same authority as that information governed by federal and state law. At the opening of a review, the review official or legal advisor shall present the procedures contained in this rule for review.

#### **7.1 PREPARE AND ORGANIZE**

#### 7.1.1 Election Materials

The custodian of the ballots shall provide to the review official the precinct summary statements, the precinct boxes or containers containing the sealed envelopes of voted ballots, and any other election materials requested by the review official. It is a good practice to have the original summary statements and results tapes/reports for the precincts (both polling place and absentee) available for public review. The ballot containers should be delivered to the post-election review official at the counting location by two election judges not of the same political party, or by two election officials, or by a combination of election judges and officials.

Ballots and election materials may only be handled by the post-election review official, staff assisting with general administration, and election judges designated by the post-election review official to assist with the review. If the post-election review official needs to leave the room during the review, they must designate a deputy to preside over the review while they are absent.

#### 7.1.2 Administrative Materials

Prior to the review, prepare a review packet with a checklist. Have all forms, exhibits, supplies, and contact information organized to ensure that all information given to individuals is provided in a consistent format. This will save time and allow the focus to be election specific.

Worksheets should be prepared for each precinct selected for the review. These worksheets can be printed from ERS. Each precinct will have two worksheets – one for polling place votes and one for absentee/mail ballot votes. Follow the process steps directly below to print the worksheets.

Post Election Review (PER)	
Select PER Precincts	
Print PER Worksheet	
Enter PER Precinct Details	
Enter PER Results	
Print PER Proofing Report	
Print PER Results	
	PER Workshe

	PER Worksheet
Filter	
Precinct: 0010 - 0010 - 1-B BAUDETTE CITY [Polling PI	ace] Y
Back View Report	
14 4 1 of 4 🕨 🕅 💠 🥂 Fi	nd   Next 🔍 🗸
All Precincts	₩. ·
0010 - 0010 - 1-B BAUDETTE CITY [Polling Place]	CSV (comma delimited)
0010 - 0010 - 1-B BAUDETTE CITY [AB/MB]	PDF
0035 - 0035 - 3-D FOREST UNORG [Polling Place] 0035 - 0035 - 3-D FOREST UNORG [AB/MB]	Word
10000 - 0000 - 0-D POREOT ONORO [ADMID]	

Figure 2 Printing PER Worksheets in ERS

A worksheet will only contain vote totals for one counter group: The Polling Place worksheet will display only results from the polling place while the Absentee/Mail Ballot worksheet will display only AB/MB results.

The following vote counts are not available in ERS and **will not be** populated in the Worksheet:

- Undervotes (Blanks)
- Overvotes
- Totals

Instead, blank lines will be printed. Using the precinct's election night summary statement, **hand-write these counts on the precinct's worksheet(s) before beginning the PER**. See Figure 6 below.

Precinct: 0125 - ST PETER W-1 P-2 Co	lling Place			
Office: U.S. President & Vice Presiden	nt			
Candidate Name	Polling Place Votes	Hand Count	Explained Difference	Explanation
MITT ROMNEY AND PAUL RYAN	522			
BARACK OBAMA AND JOE BIDEN	818			
GARY JOHNSON AND JIM GRAY	17			
JAMES HARRIS AND MAURA DELUCA	1			
VIRGIL GOODE AND JIM CLYMER	1			
DEAN MORSTAD AND JOSH FRANKE- HYLAND	0			
JILL STEIN AND CHERI HONKALA	12			
JIM CARLSON AND GEORGE MCMAHON	2			
PETA LINDSAY AND YARI OSORIO	2			Hand enter from election night
ROSS C. "ROCKY" ANDERSON AND LUIS J. RODRIGUEZ	1	_		summary statement
BLANK FOR OFFICE	$\leq$	$\leq$		
OVER / DEFECTIVE FOR OFFICE		$\rightarrow$		
WRITE-IN**	5	$\square$		
Totals	$\bigcirc$			

Figure 3 Example Polling Place Votes PER Worksheet

The PER Worksheets contain the certified results for a given precinct. It is a good practice to have additional copies of the Worksheets available for public review.

#### 7.1.3 Facilities

Setting up the facilities is important. In addition to setting up the room where the review takes place, remember to consider security needs and parking availability for those involved in the process. Set up the review room so there is a staging area, counting area, and viewing area. This set-up should consider the planned workflow (e.g., movement of election materials as well as the location of available bathrooms). Be sure to set up the necessary number of counting tables.

Badges should be provided which identify the people present and their role in the post-election review. Only people directly involved in the review should be present within the reviewing area. These individuals are limited to the review officials and legal advisor and officials of the election jurisdiction. However, the public and press must be admitted into the room where the review is being conducted to observe proceedings from outside the review area.

#### 7.2 STAFFING AND TRAINING

The post-election review official may be assisted by election judges designated by the official for this purpose. When designating election judges, it is a good practice to include some alternate or standby judges who can be called to step in if an emergency substitution is needed on the day of the review (e.g., if an election judge fails to show up for the PER).

The party balance requirement of M.S. 204B.19 applies to election judges designated for the review. Schedule the training/information dissemination session for staff. Keep your team informed.

Bring as many staff as necessary to assist with the general administration of the review. Require name badges for all authorized personnel. Establish firm guidelines for release of all information both to the media and between staff members.

#### **7.3 OBSERVATION**

The post-election review official shall arrange the counting of ballots so that the public can view the ballots as they are recounted. The official shall ensure that this public observation does not interfere with the counting or security of the ballots. Consider assigning a staff member to be the point of contact for public viewers. This can ensure their questions are answered, while other staff are assisting with or completing the review.

If other election materials are handled or examined by the review officials, the participants may observe them. Be cognizant of the chain of custody of the ballots as cases are collected from secure storage, as they await review in the PER location, and as they are returned to secure storage. Open the sealed containers only when the review team and observers are present. Keep all ballot access in full view. The review official shall prepare a summary of the review by precinct. <u>M.R. 8235.0700</u>

#### 7.4 MANAGING THE PROCESS

The post-election review official is in charge. Acknowledge everyone present (your team, legal counsels, election judges, staff, public, and press); everyone has a role. Always explain what is about to occur and explain why. Be completely thorough and transparent. Never hold a private conversation with only one of the parties. Always appear in control of yourself and the situation. Be sure to answer any questions and address the concerns of any observer.

Orally review with all present:

- Roles of the postelection review official, election judges, staff, and observers.
- Procedures for the review including the sorting and counting processes.

If observers have concerns or suggestions, listen. Make sure the actions of officials and staff in the review fills the process with accountability, credibility, and trust. Make a defendable decision and carry it out consistently.

## **8.0 EXAMPLE INSTRUCTIONS**

Provide the team with the following instructions:

- This is a post-election review held pursuant to M.S. 206.89.
- It is **not** to determine:
  - who was eligible to vote;
  - o if campaign laws were violated; or
  - o if absentee or mail ballots were properly accepted.
- It is not except for reviewing the ballots to determine if judges did things right.
- It is simply to physically recount the ballots for the races included in the post-election review.

It is an opportunity for everyone, particularly the election officials, to satisfy themselves that ballots were, in fact, counted properly. If it is found that judges have counted votes wrong or the machine counted them wrong, you need to be aware that this is not unusual and that is why we have the review law. Normally any errors by judges or the machine are random errors. There are often slight changes of vote tallies after a post-election review because officials are required to determine voter intent. It should be noted that <u>every</u> post-election review to this date, the overall results of the election have not been changed because of these slight changes in vote tallies.

Only the review official handles ballots unless they specifically instruct another to handle them. Make any concerns regarding the process known immediately to review official. Ballots will be reviewed by precinct. We will count one precinct at a time, maintaining the separation of ballots by precinct and by counter group. Polling place ballots and Absentee/Mail Ballots will be counted separately. The review official, however, may review more than one precinct at a time in physically separate locations within the room in which the review is administered. Process Overview:

- Ballots will be removed from the sealed case(s) and turned so that all ballots are facing in the same direction, with the same side up.
- The review official will separate the ballots into several piles:
  - One for each candidate;
  - One for write-ins, and
  - One for blank or defective or marked outside of the target area for the offices being reviewed.
- Voter intent will be determined pursuant to M.S. 204C.22
- Election judges will count the ballots by piling the ballots in groups of 25.
  - The post-election review official should <u>not</u> share the results totals with those conducting the count so that they remain <u>unaware</u> of the results during the counting process.
- Counts will be recorded for each precinct counter group on the review worksheet and summary statement.
- After counting, the ballots must be resealed in the transfer case. Polling Place and Absentee ballots for a precinct may be sealed together in a single case.

See Appendix B for a printer-friendly procedures sheet.

## 9.0 COUNTING BALLOTS

Ballots must be reviewed on a precinct-by-precinct basis, first to determine votes cast for the affected offices (see section 10.0), then to determine if additional steps are required (see section 11.0). The postelection review official shall open the sealed envelopes and review them in accordance with <u>M.S.</u> <u>204C.41</u>.

When conducting the review, the total number of ballots counted for the PER offices in each precinct must be equal (i.e., the total ballots counted for Governor, the total ballots counted for U.S. Senator, and the total ballots counted for U.S. Representative should all be the same). Whenever there is a discrepancy among the total number of ballots counted for each office in each precinct, the ballots should be recounted. If there is any doubt about a precinct's results, count again. If the manual count differs from the original results, you may want to have a different review team count again, looking in piles for incorrectly sorted ballots.

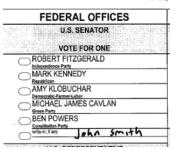
After the count of votes (both Polling Place and Absentee/Mail Ballot) for the precinct has been determined, all ballots will be resealed in the ballot envelopes and returned with the other election materials to the custodian of the ballots. Absentee and Polling Place ballots may be sealed together in a single precinct transfer case. <u>M.S. 204C.361</u>; <u>M.R. 8235.0800</u>

## **10.0 DETERMINING VOTER INTENT**

Minnesota law requires that every effort be made to accurately count all votes on a ballot. This means that a ballot or vote must not be rejected for a technicality if it is possible to determine what the voter intended, even though the voter may have made a mistake, or the ballot is damaged. Intent is determined only from the face of the ballot. Use the following rules to decide voter intent:

#### **10.1 COUNTED**

• If a name is written in the proper place but the write-in target is not marked, count the vote for that individual.



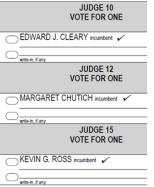
• A mark made "out of place" but close enough to a name or line to determine voter intent is to be counted.

CONTINUE VOTING ON THE	CONTINUE VOTING ON THE	CONTINUE VOTING ON THE	CONTINUE VOTING ON THE
NONPARTISAN BALLOT	NONPARTISAN BALLOT	NONPARTISAN BALLOT	NONPARTISAN BALLOT
JACK SHEPARD NORM COLEMAN	VOTE FOR ONE		NORM COLEMAN
UNITED STATES SENATOR	FEDERAL OFFICES	UNITED STATES SENATOR	UNITED STATES SENATOR
VOTE FOR ONE		VOTE FOR ONE	VOTE FOR ONE
FEDERAL OFFICES	REPUBLICAN PARTY	FEDERAL OFFICES	FEDERAL OFFICES

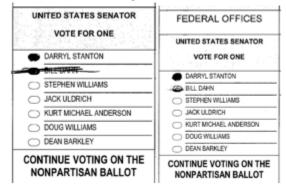
• If two or more different marks are used by the voter, count them, provided the marks do not mark the ballot with distinguishing characteristics where the voter's intent is to identify the ballot.



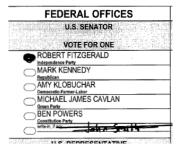
• If the voter uniformly uses a mark other than  $\frown$  to mark their ballot which clearly indicates an intent to mark a name or mark yes or no on a question, count those offices.



• If marks are made next to two candidates and an attempt was made to erase one of the two, vote is counted for the remaining marked candidate.



 If an attempt is made to obliterate a write-in name, a vote is counted for the remaining write-in name or marked candidate.

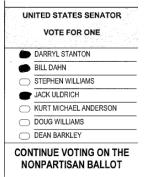


- A write-in candidate for governor or lieutenant governor is counted as a vote for a team of candidates including lieutenant governor.
- Count all printed names with a mark made opposite them and all names written-in, not exceeding the number to be elected for that office.
- Misspellings for names written-in must be counted if intent can be determined.
- If the voter's choice can only be determined for some of the offices on the ballot, only count those offices on the ballot.
- A ballot cannot be rejected because it is slightly soiled or defaced.
- A ballot that has one or more blank offices is not defective

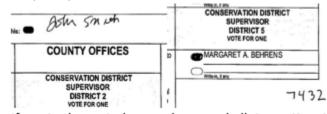
It is a good practice to keep questionable ballots at the top of counted stacks.

#### **10.2 NOT COUNTED**

• If the voter has marked more candidates than to be elected or nominated for that office, ballot is defective for that particular office. All other offices on the ballot are counted if possible.



• If the voter used an identifying mark or mark with the intent to identify the ballot, the ballot is completely defective.



- If a voter has voted yes and no on a ballot question, that question is not counted, but the rest of the ballot must be counted if possible.
- If marks are made opposite of more printed candidates or write-ins allowed for an office, the ballot is defective for that particular office.
- If the number of candidates for an office is equal to the number of individuals to be elected to that office, and the voter has not marked any name, no vote is counted for any candidate for that office.
- A specific office is considered blank when no name or response to a question is marked and no name is written in. <u>M.S. 204C.22</u>

## **11.0 DETERMINING RESULTS**

#### **11.1 ADJUSTMENTS TO MEET POST-ELECTION REVIEW STANDARDS**

M.S. 206.89 sets out the following standards for excluding ballots from the post-election review:

"Valid votes that have been marked by the voter outside the vote targets or using a manual marking device that cannot be read by the voting system must not be included in making the determination whether the voting system has met the standard of acceptable performance for any precinct."

The votes marked in the following examples taken from section 10.1 above, would likely be exceptions included in the "Explained Difference" column on the PER worksheet. The ballot counter cannot determine voter intent in these cases, so these votes do not count against the standard of acceptable performance.

#### **11.1.1 Examples to Determine Explained Differences**

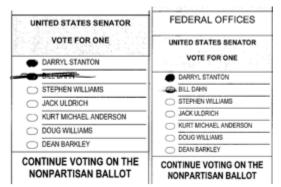
#### Marks Outside Target

These marks would not likely be counted by the ballot tabulator.

	REPUBLICAN PARTY		
FEDERAL OFFICES	REPUBLICAN PARTT	FEDERAL OFFICES	FEDERAL OFFICES
UNITED STATES SENATOR	FEDERAL OFFICES	UNITED STATES SENATOR	UNITED STATES SENATOR
VOTE FOR ONE	UNITED STATES SENATOR	VOTE FOR ONE	VOTE FOR ONE
(ACK SHEPARD)	VOTE FOR ONE	◯ JACK SHEPARD X	JACK SHEPARD
O NORM COLEMAN	JACK SHEPARD	NORM COLEMAN	O NORM COLEMAN
CONTINUE VOTING ON THE NONPARTISAN BALLOT			

#### **Possible Overvotes**

The ballot counter would not likely have counted these votes but would have recorded them as overvotes.



The cases above were marked in such a way that they could not be properly read by the electronic voting system. Those votes that appear unreadable by the electronic voting system are included for the candidates in the "Hand Counted Votes" column based on voter intent. Unreadable votes, however, do not count against the standard of acceptable performance of the voting system and are also reported in the "Explained Differences" column if applicable. <u>M.S. 206.89</u>

Precinct: 0125 - ST PETER W-1 P-2	2 Polling Place			
Office: U.S. Senator				
Candidate Name	Polling Place Votes	Hand Count	Explained Difference	Explanation
STEPHEN WILLIAMS	43	45	1	Stray mark recorded as overrote
KURT BILLS	304	305	1	Noter intent outside of target
AMY KLOBUCHAR	973	973		
TIM DAVIS	19	19		[]
MICHAEL CAVLAN	2	2		/
BLANK FOR OFFICE	5	3	1	Vote for Bills outside of target
OVER / DEFECTIVE FOR OFFICE	4	3	1	Stray mark not overvote, for Williams
WRITE-IN**	2	2	$\sim$	
Totals	1352	1352	4	

Precinct: 0125 - ST PETER W-1 P-2	AB/MB			
Office: U.S. Senator				
Candidate Name	AB/MB Votes	Hand Count	Explained Difference	Explanation
STEPHEN WILLIAMS	1	1		
KURT BILLS	40	40		
AMY KLOBUCHAR	119	119		
TIM DAVIS	1	1		
MICHAEL CAVLAN	1	1		
BLANK FOR OFFICE	4	3	1	Write-in candidate name provided;
OVER / DEFECTIVE FOR OFFICE	2	2		oval unmarked
WRITE-IN**	0	1	1 -	Candidate name written-in; oval not
Totals		168	2	marked

Figure 4 Example PER Worksheets for Polling Place and AB/MB Votes with review counts and explained differences

#### **11.2 COMPARISON OF RESULTS**

Once the ballots that are unreadable by the electronic voting system are noted in the "Explained Differences" column, any remaining differences between the results of the hand tally with the reported results for the precinct will be calculated in the "Adjusted Differences" column. The county must immediately input the results of the post-election review into ERS (but no later than two days before the State Canvassing Board meets to canvass the election). Any revision to the vote totals for these offices will be incorporated into the official results for those precincts.

#### **11.2.1 PER Results Entry**

The county will input two sets of results per precinct into ERS – one for polling place votes, one for absentee/mail ballot votes. Follow the process steps directly below to input results.

Post E	lection Review (	PER)								
Select	PER Precincts									
Print P	ER Worksheet									
Enter	PER Precinct Details									
	PER Results									
Print P	ER Proofing Report									
Print P	ER Results									
									]	
						Ente	r PER F	Results		
Precinct :	0125 - ST PETER W	-1 P-2 (Polling P	lace] 🗙 < Prev	Nex	kt >					
									]	
			/							
	NORTH MANKATO P		:e]							
	NORTH MANKATO P ST PETER W-1 P-2 [I		hs'							
	ST PETER W-1 P-2 [									
										_
0102 - U.S				Polling						-
ID Candidate	Candidate Party	Candidate Name		Place Votes	Hand Count	Unadjusted Difference		Difference	Explanation	
01020201	Independence	STEPHEN WILLIAMS		43	0	43	0	43		0
01020301	Republican	KURT BILLS		304	0	304	0	304		0
01020401	Democratic-Farmer-Labor	AMY KLOBUCHAR	Enter from election night	973	0	973	6	973		0
01021001	Grassroots Party	TIM DAVIS	summary statement or	19	0	19	0	19		0
01021101	Minnesota Open Progressives	MICHAEL CAVLAN	PER Worksheet	2	0	2	8	2		0
01029001	Nonpartisan	BLANK FOR OFFICE			-	0	0	0		0
01029002	Nonpartisan	OVER / DEFECTIVE FO	OR OFFICE	0		0	0	0		0
01029901	Write-In	WRITE-IN**		2	0	2	0	2		0

Figure 5 Entering PER Results in ERS

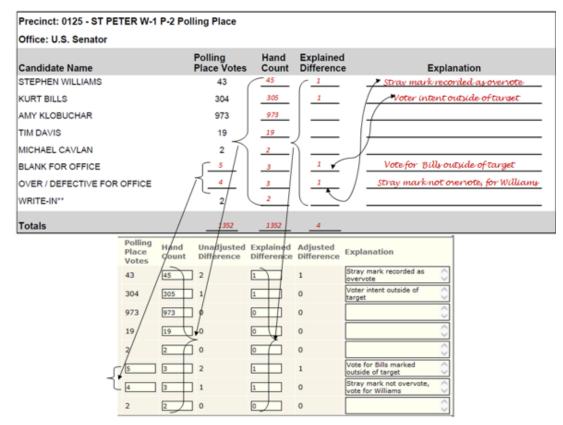
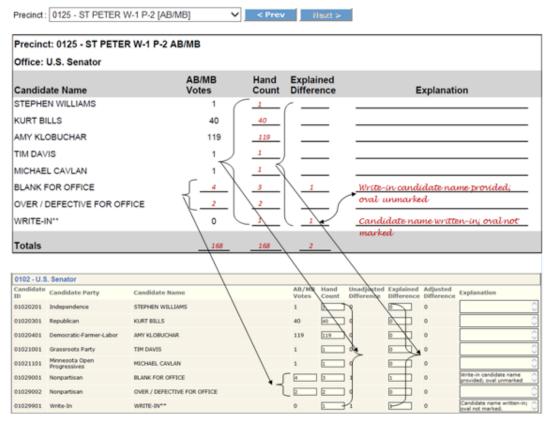


Figure 6 Inputting PER Polling Place Worksheet data into ERS PER Results Entry Screen



Enter PER Results

Figure 7 Inputting PER AB/MB Worksheet data into ERS PER Results Entry Screen

#### 11.2.2 PER Results Proofing

To proof the entry of polling place and AB/MB vote totals in ERS, counties should print and review the PER Proofing Report for accuracy. The report can be printed to include all precincts or by individual precinct counter group. Follow the process steps directly below to print the Proofing Report.

Post Election Review (PER)	
Select PER Precincts	
Print PER Worksheet	
Enter PER Precinct Details	
Enter PER Results	
Print PER Proofing Report	
Print PER Results	

Filter County: Nicollet	Precinct: Al	I Precincts			×	roofing Report	
Back View Report	]			$\sim$			
All Precincts 0090 - NORTH MANKATO P 0090 - NORTH MANKATO P 0125 - ST PETER W-1 P-2 [I 0125 - ST PETER W-1 P-2 [I Precinct: 0125 - ST PETER W-1 ] Office: U.S. Senator	5 (AB/MB) Polling Place) AB/MB)						Nicollet Count
Candidate Name	Polling Place Votes	Hand-Counted Votes	Unadjusted Difference	Explained	Adjusted Difference	Explanation	
STEPHEN WILLIAMS	43	45	2	1	1	Stray mark recor	eforceuro ac heh
KURT BILLS	304	305	1	1	0	Voter intent out	
AMY KLOBUCHAR	973	973	0	0	0		
TIM DAVIS	19	19	0	0	0		
MICHAEL CAVLAN	2	2	0	0	0		
BLANK FOR OFFICE	5	3	2	1	1	Vote for Bills marke	d outside of target
OVER / DEFECTIVE FOR OFFICE	4	3	1	1	0	Stray mark not overvo	te, vote for Williams
WRITE-IN**	2	2	0	0	0		
Totals	1352	1352	6	4	2		
Precinct: 0125 - ST PETER W-1 Office: U.S. Senator	P(2 AB/MB						Nicollet Cour
omce. 0.3. senator							
Candidate Name	AB/MB Votes	Hand-Counted Votes	Unadjusted Difference	Explained Difference	Adjusted Difference	Explanation	
STEPHEN WILLIAMS	1	1	0	0	0		

KURT BILLS AMY KLOBUCHAR TIM DAVIS MICHAEL CAVLAN BLANK FOR OFFICE Write-in candidate name provided; oval unmarked OVER / DEFECTIVE FOR OFFICE WRITE-IN\*\* Candidate name written-in; oval not marked. Totals 

Figure 8 Printing the PER Proofing Report in ERS

#### **Proofing Tips:**

- Ensure BLANK FOR OFFICE and OVER/DEFECTIVE FOR OFFICE votes are entered for all offices.
- Ensure the votes totals for the PER offices within each counter group are equal (e.g., the Polling Place Totals for U.S. President and U.S. Representative are the same). See Figure 15 below for an example.
- Ensure that a descriptive Explanation is included where needed. See Figure 16 on page 21 for an example.

The number of ballots counted for a given precinct counter group should not change between offices.

In the example to the right, hand-counted votes for Governor & Lt. Governor are less than the votes counted for the other two offices. This cannot occur.

Possible Ways to Resolve:

- Check for missing Blank for Office vote or Over/ Defective
- Count office again to check vote totals

		KATO Polling Pl	ace				Nicollet Cou
Office: U.S. Ser	ator						
Candidate Name	Polling Place Votes	Hand-Counted Votes	Unadjusted Difference	Explained Difference	Adjusted Difference	Explanation	
STEVE CARLSON	v 11	11	0	0	0		
MIKE MCFADDEN	N 136	136	0	0	0		
AL FRANKEN	141	141	0	0	0		
HEATHER JOHNSON	3	3	0	0	0		
BLANK FOR OFFICE	3	3	0	0	0		
OVER / DEFECTIVE FOR OFFICE	0	0	0	0	0		
WRITE-IN**	0	0	0	0	0		
Totals	294	294	0	0	0		
Precinct: 0090	NORTH MAN	KATO Polling Pla	ice				Nicollet Cour
Office: U.S. Rep	resentative Dis	strict 1					
Candidate Name	Polling Place Votes	Hand-Counted Votes	Unadjusted Difference		Adjusted Difference	Explanation	
JIM HAGEDORN	137	137	0	0	0		
TIM WALZ	152	152	0	0	0		
BLANK FOR OFFICE	5	5	0	0	0		
OVER / DEFECTIVE FOR OFFICE	0	0	0	0	0		
WRITE-IN**	0	0	0	0	0		
Totals	(294)	(294)	0	0	0		
101815							
Precinct: 0090 -	NORTH MAN	KATO Polling Pla	ice				Nicollet Cour
Office: Governo	r & Lt Governo	ər					
Candidate Name	Polling Place Votes	Hand-Counted Votes	Difference	Difference	Adjusted Difference	Explanation	
HANNAH NICOLLET AND TIM GIESEKE	27	27	0	0	0		
JEFF JOHNSON	141	141	0	0	0		
AND BILL KUISLE			-	-	-		
MARK DAYTON	120	121	1	0	1	Oval not con	npietely filled
	120	121 2	-	-	-	Oval not con	npietely filled
MARK DAYTON AND TINA SMITH CHRIS HOLBROOK AND	120		1	0	1	Oval not con	npietoly filed
MARK DAYTON AND TINA SMITH CHRIS HOLBROOK AND CHRIS DOCK CHRIS WRIGHT AND DAVID	120 2	2	1	0	1		npietely filed
MARK DAYTON AND TINA SMITH CHRIS HOLBROOK AND CHRIS DOCK CHRIS WRIGHT AND DAVID DANIELS BLANK FOR	120 2 1 0	2	1 0 0	0	1 0 0		
MARK DAYTON AND TINA SMITH CHRIS HOLBROOK AND CHRIS DOCK CHRIS WRIGHT AND DAVID DAVIELS BLANK FOR OFFICE OVER / DEFECTIVE FOR	120 2 1 0	2 2 0	1 0 0 1	0 0 0	1 0 0 1		

Figure 9 Example of Total Votes in need of correction

Precinct: 0550 - GILBERT						St. Louis Count
Office: Governor & Lt Governor						
Candidate Name	Total Votes	Total Hand- Counted Votes	Total Unadjusted Difference *	Total Explained Difference	Total Adjusted Difference	Explanation
HANNAH NICOLLET AND TIM GIESEKE	29	30	1	1	0	PP: Voter intent: overvote was vote for Nicollet & Gieseke; AB/MB:
JEFF JOHNSON AND BILL KUISLE	243	243	0	0	0	AB/MB:
MARK DAYTON AND TINA SMITH	477	477	0	0	0	AB/MB
CHRIS HOLBROOK AND CHRIS DOCK	11	11	0	0	0	AB/MB:
CHRIS WRIGHT AND DAVID DANIELS	12	12	0	0	0	
BLANK FOR OFFICE	6	6	0	0	0	
OVER / DEFECTIVE FOR OFFICE	1	0	1	1	0	PP: Voter intent: counted as overvote but was vote for Nicollet & Gieseke
WRITE-IN**	0	0	0	0	0	
Totals	779	779	2	2	0	

#### Figure 10 Example of PER Explanations

ERS will automatically determine if the PER results meet the standard of acceptable performance or if the Adjusted Difference is greater than the standard of acceptable performance and additional review is necessary.

#### New PER "Issues" Report

A new report was developed in 2022 to provide a <u>final</u> "proofing" tool to be used before the PER Results are submitted to the OSS. This report will identify the following issues:

- Number in Explained Difference but no text with explanation
- Text with explanation, but Explained Difference = 0
- If Under/Blank or Over/Defective has a number in Hand Count votes, but Polling Place/AB votes is 0 (the county should be filling in the Under & Over numbers from tapes)
- Totals don't match between PP/AB votes and Hand Count, or among offices in precinct

#### **11.2.3 Submitting PER Results to OSS**

The county auditor must print the PER Results Report and proof for accuracy and acceptability. To print the Results Report, follow the steps directly below.

ults

Figure 11 Printing the PER Results in ERS

Precinct: 0040 - FARIBAULT P-4							Rice County
Office: U.S. Senator							
Candidate Name	Total Votes	Total Hand- Counted Votes	Total Unadjusted Difference *	Total Explained Difference	Total Adjusted Difference	Explanation	
Jim Newberger	816	816	0	0	0		
Amy Klobuchar	1190	1191	1	1	0	AB/MB: Voter intent outside of target	t
Dennis Schuller	55	55	0	0	0		
Paula M Overby	18	18	0	0	0		
UNDER / BLANK FOR OFFICE	19	18	1	1	0	AB/MB: Voter intent outside of target	t
OVER / DEFECTIVE FOR OFFICE	2	2	0	0	0		
WRITE-IN**	0	0	0	0	0		
Totals	2100	2100	2	2	0		
Final Results Difference of not more than 5 votes with 2,000 or more votes cast. ACCEPTABLE							

Figure 12 Example PER Results

If the Final Results indicate that acceptable performance has been met, sign, scan/email *or* fax the report to OSS.

**Note:** There is not a designated signature line on the PER Results report. Simply sign in the space below the Final Results.

If the PER Results Report indicates *Unacceptable* and the county must escalate to a second level of review, contact OSS.

## **12.0 STATE CANVASSING BOARD AND REPORTING PER RESULTS**

The Secretary of State shall report the results of the review at the meeting of the State Canvassing Board to canvass the state general election. <u>M.S. 206.89, subd. 6</u>

If the post-election review results in a change in the number of votes counted for any candidates, the revised vote totals must be incorporated in the official results for those precincts. <u>M.S. 206.89, subd. 7</u>

The OSS will post individual precinct results from the post-election review at the <u>Post-Election Reviews</u> <u>webpage</u> (http://www.sos.state.mn.us/elections-voting/how-elections-work/post-election-reviews/).

## **APPENDIX A – SAMPLE DETERMINATION OF POST ELECTION REVIEW**

#### Determination of Post Election Review in [insert county name] County

On [date of canvass board meeting] at [time of canvass board.] this Canvas Board is setting the date of this Post Election Review to:

#### [Day], November [Date], [Year] at [Time] at the [Location]

as provided in M.S. 206.89, subd. 3.

[NAME OF CANVASS BOARD MEMBER]

Subscribed and sworn to before me This [Date of Canvass Board Meeting].

Notary Public

## APPENDIX B – PROCEDURES FOR CONDUCTING POST-ELECTION REVIEW

- 1. Organize teams one for each precinct to be reviewed.
- 2. Assign three election judges to each team.
- 3. Review the provisions of <u>M.S. 204C.21</u> and <u>204C. 22</u>.
- 4. Open sealed transfer cases and remove voted ballots.
- 5. The review must be conducted of the votes cast for President or Governor, U.S. Senator (if on the ballot), and U.S. Representative.
- 6. The election judge will then take the ballots from each counter group in turn and separate them into piles.
- 7. Begin with the office of President or Governor (depending upon which office is on the ballot).
- 8. There should be one pile for each major party candidate, one pile for each minor party candidate, one pile for all write-in candidates, one pile for ballots blank for that office, one pile of for ballots defective for that office, one pile for completely defective ballots.
- 9. The election judge will set aside any ballots that are obviously: a) marked outside the target but close enough to the candidate's name to determine the voter's intent or b) marked with a pen or pencil that obviously cannot be read; this could be red ink, yellow ink, mark not dark enough, mark not in scan path, etc.
- 10. After all ballots have been piled, the election judges will count the ballots in each pile, by groups of 25.
- 11. The post-election review official should not share the results totals with those conducting the count so that they remain <u>unaware</u> of the results during the counting process.
- 12. When the piling process is done, the election judges will then record the results on the postelection review worksheet that already has the election day totals.
- 13. The election judges will note any differences:
  - a. Differences that <u>cannot</u> be explained.
  - b. Explained differences caused by voter errors in marking their ballots (marks outside of the target area, etc.).
  - c. Explained differences due to any other factors such as poor duplication of ballot, excessively folded or torn ballot, etc.
- 14. Repeat this process for U.S. Senator (if on the ballot) and U.S. Representative.
- 15. When both polling place and absentee/mail ballots are counted for the precinct, reseal ballots into transfer cases.
- 16. Have election judges sign post-election review worksheet.
- If changes that <u>cannot be explained</u> are greater than the acceptable standards provided in <u>M.S.</u> <u>206.89</u>, <u>subd. 4</u>, preparations for further reviews outlined <u>M.S. 206.89</u>, <u>subd. 5</u> must take place immediately.
- 18. Immediately transmit results to the secretary of state.

Office of the Minnesota Secretary of State Elections Division **2022 Post Election Review Guide** Updated 10/10/2022