

**STATE OF MINNESOTA  
OFFICE OF THE SECRETARY OF  
STATE  
Affirmative Action Plan**

**August 2016 – August 2018**

100 Rev. Dr. Martin Luther King Jr. Blvd.

Saint Paul, MN 55155

This document can be made available upon request in alternative formats by contacting Andrea L. Turner, Human Resources Director at [andrea.l.turner@state.mn.us](mailto:andrea.l.turner@state.mn.us) or 651-259-3636.

## Table of Contents

I.	EXECUTIVE SUMMARY .....	5
II.	STATEMENT OF COMMITMENT .....	6
III.	INDIVIDUALS RESPONSIBLE FOR DIRECTING/IMPLEMENTING THE AFFIRMATIVE ACTION PLAN....	7
A.	Commissioner or Agency Head .....	7
B.	Affirmative Action Officer or Designee .....	7
C.	Americans with Disabilities Act Coordinator or Designee .....	8
D.	Human Resources Director or Designee .....	9
E.	Directors, Managers, and Supervisors .....	10
F.	All Employees .....	11
IV.	COMMUNICATION OF THE AFFIRMATIVE ACTION PLAN .....	12
A.	Internal Methods of Communication.....	12
B.	External Methods of Communication .....	12
V.	STATEWIDE POLICY PROHIBITING DISCRIMINATION AND HARASSEMENT .....	12
VI.	COMPLAINT PROCEDURE FOR PROCESSING COMPLAINTS FOR ALLEDGED DISCRIMINATION/HARASSMENT .....	14
	Responsibility of Employee: .....	15
	Who May File: .....	15
	Complaint Procedure: .....	15
	Filing Procedures:.....	15
VII.	REASONABLE ACCOMODATION POLICY.....	17
	Applicant .....	17
	Americans with Disabilities Act (ADA) Coordinator .....	17
	Direct Threat .....	17
	Essential Functions.....	17
	Interactive Process.....	18
	Individual with a Disability .....	18
	Qualified Individual with a Disability .....	18
	Major Life Activities .....	18
	Medical Documentation .....	18
	Reasonable Accommodation .....	18
	Reassignment .....	19
	Support Person.....	19

OFFICE OF THE SECRETARY OF STATE  
 AFFIRMATIVE ACTION PLAN 2016-2018

Undue Hardship .....20

General Standards and Expectations .....20

    Individuals who may request a reasonable accommodation include.....20

    How to request a reasonable accommodation.....20

    Timing of the request.....21

    Form of the request .....21

    The interactive process entails .....21

    Agency responsibilities for processing the request .....22

    Analysis for processing requests.....23

    Obtaining medical documentation in connection with a request for reasonable accommodation...23

    Confidentiality requirements.....24

    Approval of requests for reasonable accommodation .....25

    Funding for reasonable accommodations .....26

    Procedures for reassignment as a reasonable accommodation.....26

    Denial of requests for reasonable accommodation.....26

    Consideration of undue hardship .....27

    Determining direct threat .....27

    Appeals process in the event of denial .....27

    Information tracking and records retention .....28

VIII.    EVACUATION PROCEDURES FOR INDIVIDUALS WITH DISABILITIES.....28

    Evacuation Options: .....28

    Evacuation Procedures for Individuals with Mobility, Hearing, or Visual Disabilities: .....29

    Severe Weather Evacuation Options: .....30

IX.    GOALS AND TIMETABLES .....30

X.    AFFIRMATIVE ACTION PROGRAM OBJECTIVES.....33

    Objective #1: Continuous Process Improvement.....33

        Action Steps: .....33

        Evaluation:.....33

    Objective #2: Recruitment Planning .....33

        Action Steps: .....34

        Evaluation:.....34

    Objective #3: Interns, Trainees, Community Outreach, and Internal Outreach.....34

        Action Steps: .....34

OFFICE OF THE SECRETARY OF STATE  
 AFFIRMATIVE ACTION PLAN 2016-2018

Evaluation:..... 34

XI. METHODS OF AUDITING, EVALUATING, AND REPORTING PROGRAM SUCCESS..... 35

    A. Pre-Employment Review Procedure/Monitoring the Hiring Process ..... 35

    B. Pre-Review Procedure for Layoff Decisions ..... 35

    C. Other Methods of Program Evaluation..... 36

XII. RECRUITMENT PLAN ..... 36

    A. Advertising Sources..... 37

    B. Job and Community Fairs..... 37

    C. College and University Recruitment Events..... 37

    D. Recruitment for Individuals with Disabilities ..... 37

    E. Relationship Building and Outreach..... 39

    F. Internships ..... 39

    G. Supported Employment (M.S. 43A.191, Subd. 2(d))..... 39

    H. Additional Recruitment Activities ..... 39

XIII. RETENTION PLAN ..... 40

    A. Individual(s) Responsible for the Agency’s Retention Program/Activities ..... 40

    B. Separation and Retention Analysis by Protected Groups..... 40

    C. Methods of Retention of Protected Groups ..... 40

APPENDIX ..... 41

    Complaint of Discrimination/Harassment Form ..... 41

    Employee/Applicant Request for ADA Reasonable Accommodation Form ..... 44

    Agency Profile and Organizational Chart ..... 46

    Underutilization Analysis Worksheets ..... 48

    Separation Analysis by Protected Groups Worksheets ..... 50

    Other Relevant Agency Information, Policies, or Documents ..... 50

**I. EXECUTIVE SUMMARY**

Review revealed underutilization of the following protected group(s) in the following job categories:

**Table 1: UNDERUTILIZATION ANALYSIS OF PROTECTED GROUPS**

Job Categories	Women	Racial/Ethnic Minorities	Individuals With Disabilities
Officials/Administrators		1	1
Professionals	6	2	2
Office/Clerical			

Once approved, information about how to obtain or view a copy of this plan will be provided to every employee of the agency. Our intention is that every employee to is aware of the Office of the Secretary of State’s commitments to affirmative action and equal employment opportunity. The plan will also be posted on the agency’s website and maintained in the Human Resources/Affirmative Action Office.

This Affirmative Action Plan meets the requirements as set forth by Minnesota Management and Budget, and contains affirmative action goals and timetables, as well as reasonable and sufficiently assertive hiring and retention methods for achieving these goals.

\_\_\_\_\_  
 Affirmative Action Officer or Designee

\_\_\_\_\_  
 Date Signed

\_\_\_\_\_  
 Human Resources Director or Designee

\_\_\_\_\_  
 Date Signed



10/14/16

\_\_\_\_\_  
 Commissioner or Agency Head

\_\_\_\_\_  
 Date Signed

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## II. STATEMENT OF COMMITMENT

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This statement reaffirms the Office of the Secretary of State is committed to Minnesota's statewide affirmative action efforts and providing equal employment opportunity to all employees and applicants in accordance with equal opportunity and affirmative action laws.

I affirm my personal and official support of these policies which provide that:

- No individual shall be discriminated against in the terms and conditions of employment, personnel practices, or access to and participation in programs, services, and activities with regard to race, sex, color, creed, religion, age, national origin, sexual orientation, gender identity, disability, marital status, status with regard to public assistance, or membership or activity in a local human rights commission.
- This agency is committed to the implementation of the affirmative action policies, programs, and procedures included in this plan to ensure that employment practices are free from discrimination. Employment practices include, but are not limited to the following: hiring, promotion, demotion, transfer, recruitment or recruitment advertising, layoff, disciplinary action, termination, rates of pay or other forms of compensation, and selection for training. We will provide reasonable accommodation to employees and applicants with disabilities.
- This agency will continue to actively promote a program of affirmative action, wherever minorities, women, and individuals with disabilities are underrepresented in the workforce, and work to retain all qualified, talented employees, including protected group employees.
- This agency will evaluate its efforts, including those of its directors, managers, and supervisors, in promoting equal opportunity and achieving affirmative action objectives contained herein. In addition, this agency will expect all employees to perform their job duties in a manner that promotes equal opportunity for all.

It is the agency's policy to provide an employment environment free of any form of discriminatory harassment as prohibited by federal, state, and local human rights laws. I strongly encourage suggestions as to how we may improve. We strive to provide equal employment opportunities and the best possible service to all Minnesotans.



Commissioner or Agency Head



Date Signed

### III. **INDIVIDUALS RESPONSIBLE FOR DIRECTING/IMPLEMENTING THE AFFIRMATIVE ACTION PLAN**

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#### **A. Commissioner or Agency Head**

**Steve Simon, Secretary of State**

##### **Responsibilities:**

The Secretary of State is responsible for the establishment of an Affirmative Action Plan that complies with all federal and state laws and regulations.

##### **Duties:**

The duties of the Secretary of State shall include, but are not limited to the following:

- Appoint the Affirmative Action Officer or designee and include accountability for the administration of the agency's Affirmative Action Plan in his or her position description;
- Take action, if needed, on complaints of discrimination and harassment;
- Ensure the Affirmative Action Plan is effectively communicated to all employees on an annual basis;
- Make decisions and changes in policy, procedures, or accommodations as needed to facilitate effective affirmative action and equal employment opportunity;
- Actively promote equal opportunity employment; and
- Require all agency directors, managers, and supervisors include responsibility statements for supporting affirmative action, equal opportunity, diversity, and/or cultural responsiveness in their position descriptions and annual objectives.

##### **Accountability:**

The Secretary of State is accountable directly to the Governor and indirectly to the Minnesota Management and Budget Commissioner on matters pertaining to equal opportunity and affirmative action.

#### **B. Affirmative Action Officer or Designee**

**Andrea L. Turner, Human Resources Director, Minnesota Department of Administration**

##### **Responsibilities:**

The Affirmative Action Officer or designee is responsible for implementation of the policies contained in the agency's affirmative action plan, and oversight of the agency's compliance with equal opportunity and affirmative action laws.

**Duties:**

The duties of the Affirmative Action Officer or designee shall include, but are not limited to the following:

- Prepare and oversee the Affirmative Action Plan, including development and setting of agency-wide goals;
- Monitor the compliance and fulfill all affirmative action reporting requirements;
- Inform the Secretary of State of progress in affirmative action and equal opportunity and report potential concerns and opportunities for improvement;
- Review the Affirmative Action Plan at least annually and provide updates as appropriate;
- Provide an agency-wide perspective on issues relating to affirmative action and equal opportunity and assist in the identification and development of effective solutions in problem areas related to affirmative action and equal opportunity;
- Identify opportunities for infusing affirmative action and equal opportunity into the agency's considerations, policies, and practices;
- Participate in and/or develop strategies to recruit individuals in protected groups for employment, promotion, and training opportunities;
- Stay current on changes to equal opportunity and affirmative action laws and interpretation of the laws;
- Provide consultation, technical guidance, and/or training to directors, managers, supervisors, and staff regarding best practices in recruitment, selection, and retention, progress on hiring goals, reasonable accommodations, and other opportunities for improvement; and
- Serve as the agency liaison with Minnesota Management and Budget's Office of Equal Opportunity and Diversity and enforcement agencies.

**Accountability:**

The Affirmative Action Officer is accountable directly to Deputy Commissioner of the Department of Administration and indirectly to the Secretary of State on matters pertaining to affirmative action and equal opportunity.

**C. Americans with Disabilities Act Coordinator or Designee**

**Kate Rios, HR Consultant, Americans with Disabilities Act Coordinator**



**Responsibilities:**

The Americans with Disabilities Act Coordinator or designee is responsible for the oversight of the agency's compliance with the Americans with Disabilities Act Title I – Employment and Title II – Public Services, in accordance with the Americans with Disabilities Act - as amended, the Minnesota Human Rights Act, and Executive Order 96-09.

**Duties:**

The duties of the Americans with Disabilities Act Coordinator shall include, but not limited to the following:

- Provide guidance, coordination, and direction to agency management with regard to the Americans with Disabilities Act in the development and implementation of the agency's policy, procedures, practices, and programs to ensure they are accessible and nondiscriminatory;
- Provide consultation, technical guidance, and/or training to directors, managers, supervisors, and staff regarding best practices in recruitment, selection, and retention of individuals with disabilities, provisions of reasonable accommodations for employees and applicants, and other opportunities for improvement; and
- Track and facilitate requests for reasonable accommodations for employees and applicants, as well as members of the public accessing the agency's services, and reports reasonable accommodations annually to Minnesota Management and Budget.

**Accountability:**

The Americans with Disabilities Act Coordinator reports directly to Admin Human Resources Director.

**D. Human Resources Director or Designee**

**Andrea L. Turner, Human Resources Director**

**Responsibilities:**

The Human Resources Director is responsible for ensuring equitable and uniform administration of all personnel policies including taking action to remove barriers to equal employment opportunity with the agency.

**Duties:**

The duties of the Human Resources Director include, but are not limited to the following:

- Provide leadership to human resources staff and others to ensure personnel decision-making processes adhere to equal opportunity and affirmative action principles;

OFFICE OF THE SECRETARY OF STATE  
AFFIRMATIVE ACTION PLAN 2016-2018

- Ensure, to the extent possible, development and utilization of selection criteria that is objective, uniform, and job-related;
- Initiate and report on specific program objectives contained in the Affirmative Action Plan;
- Ensure pre-hire review process is effective and receives support from directors, managers, and supervisors;
- Include appropriate staff in the decision-making process regarding personnel actions involving protected group members, including hiring, promotion, disciplinary actions, reallocation, transfer, termination, and department and division-wide classification studies;
- Include responsibility statements for supporting affirmative action, equal opportunity, diversity, and/or cultural responsiveness in position descriptions and annual objectives;
- Assist in recruitment and retention of individuals in protected groups, and notify directors, managers, and supervisors of existing disparities;
- Make available records and data necessary to perform duties related to equal opportunity and affirmative action.

**Accountability:**

The Human Resources Director is directly accountable to the Deputy Commissioner of the Department of Administration.

**E. Directors, Managers, and Supervisors**

**Responsibilities:**

Directors, Managers, and Supervisors are responsible for implementation of equal opportunity and affirmative action within their respective areas of supervision and compliance with the agency's affirmative action programs and policies to ensure fair and equal treatment of all employees and applicants.

**Duties:**

The duties of directors, managers, and supervisors include, but are not limited to the following:

- Assist the Affirmative Action Officer in identifying and resolving problems and eliminating barriers which inhibit equal employment opportunity;

OFFICE OF THE SECRETARY OF STATE  
AFFIRMATIVE ACTION PLAN 2016-2018

- Communicate the agency's affirmative action policy and commitment to assigned staff;
- Carry out supervisory responsibilities in accordance with the equal employment opportunity and affirmative action policies embodied in this plan;
- Maintain a consistent standard within the workforce so that employees are evaluated, recognized, developed, and rewarded on a fair and equitable basis;
- Include responsibility statements for supporting affirmative action, equal opportunity, diversity, and/or cultural responsiveness in staff position descriptions and annual objectives;
- To provide a positive and inclusive work environment; and
- To refer complaints of discrimination and harassment to the appropriate parties.

**Accountability:**

Directors, managers, and supervisors are accountable directly to their designated supervisor and indirectly to the Secretary of State.

**F. All Employees**

**Responsibilities:**

All employees are responsible for conducting themselves in accordance with the agency's equal opportunity and Affirmative Action Plan and policies.

**Duties:**

The duties of all employees shall include, but are not limited to the following:

- Exhibit an attitude of respect, courtesy, and cooperation towards fellow employees and the public; and
- Refrain from any actions that would adversely affect the performance of a coworker with respect to their race, sex, color, creed, religion, age, national origin, disability, marital status, status with regard to public assistance, sexual orientation, gender identity, gender expression, or membership or activity in a local human rights commission.

**Accountability:**

Employees are accountable to their designated supervisor and indirectly to the Secretary of State.

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#### **IV. COMMUNICATION OF THE AFFIRMATIVE ACTION PLAN**

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The following information describes the methods that the agency takes to communicate the Affirmative Action Plan to employees and the general public:

##### **A. Internal Methods of Communication**

- A memorandum detailing the location of the Affirmative Action Plan and the responsibility to read, understand, support, and implement equal opportunity and affirmative action will be sent from the agency's leadership or alternatively, the Affirmative Action Officer, to all staff on an annual basis.
- The agency's Affirmative Action Plan is available to all employees on the agency's internal Shared Drive, "R" or in print copy to anyone who requests it. As requested, the agency will make the plan available in alternative formats.
- Nondiscrimination and equal opportunity statements and posters are prominently displayed and available in areas frequented and accessible to employees.

##### **B. External Methods of Communication**

- The agency's Affirmative Action Plan is available on the agency's external website at <http://www.sos.state.mn.us/index.aspx?page=18> or in print copy to anyone who requests it. As requested, the agency will make the plan available in alternative formats.
- The agency's website homepage, letterhead, publications, and all job postings, will include the statement "an equal opportunity employer."

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#### **V. STATEWIDE POLICY PROHIBITING DISCRIMINATION AND HARASSEMENT**

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It is the policy of the of the State of Minnesota/Office of the Secretary of State to prohibit harassment of its employees based on race, color, creed, religion, national origin, sex, marital status, status with regard to public assistance, membership or activity in a local human rights, disability, sexual orientation, or age. This prohibition with respect to harassment includes both overt acts of harassment and those acts that create a negative work environment. The Office of the Secretary of State is committed to providing a positive environment in which all staff, members of the public and others doing business with the state are treated with professionalism and respect.

Any employee subjected to such harassment should file a complaint internally with the agency's Affirmative Action Officer or designee. If the employee chooses, a complaint can be filed externally with the Minnesota Department of Human Rights, the Equal Employment Opportunity Commission, or through other legal channels. These agencies have time limits for filing complaints, so individuals should contact the agencies for more information. In extenuating

circumstances, the employee should contact the State Affirmative Action Program Coordinator in the Office of Equal Opportunity and Diversity at Minnesota Management and Budget for information regarding the filing of a complaint. Any unintentional or deliberate violation of this policy by an employee will be cause for appropriate disciplinary action.

Each employee is responsible for the application of this policy. This includes initiating and supporting programs and practices designed to develop understanding, acceptance, commitment, and compliance within the framework of this policy. All employees must be informed that harassment is unacceptable behavior. The Affirmative Action Officer or designee will be expected to keep the Office of the Secretary of State and its employees apprised of any changes in the law or its interpretation regarding this form of discrimination. The Affirmative Action Officer or designee is also responsible for:

- Notifying all employees and applicants of this policy; and
- Informing all employees of the complaint procedure and ensuring that all complaints will be investigated promptly and carefully.

***Definitions:***

Harassment is a form of discrimination and in general is the display of behavior by one employee toward another employee which has the purpose or effect of unreasonably interfering with an individual's work performance or creating an intimidating, hostile or offensive work environment.

Discriminatory harassment is any behavior based on protected class status that is unwelcome, personally offensive, insulting, or demeaning, and that unreasonably interferes with an individual's work performance or creates an intimidating, hostile, or offensive work environment. For example, harassment based on national origin has been defined by the U.S. Equal Employment Opportunity Commission as "Ethnic slurs and other verbal or physical conduct relating to an individual's national origin."

Sexual harassment has also been specifically defined by the Minnesota Human Rights Act, which states in regard to employment, that:

"Sexual harassment" includes unwelcome sexual advances, requests for sexual favors, sexually motivated physical contact or other verbal or physical conduct or communication of a sexual nature when:

- Submission to that conduct or communication is made a term or condition, either explicitly or implicitly, of obtaining employment;
- Submission to or rejection of that conduct or communication by an individual is used as a factor in decision affecting that individual's employment; or

- That conduct or communication has the purpose or effect of substantially interfering with an individual's employment, and in the case of employment, the employer knows or should know of the existence of the harassment and fails to take timely and appropriate action.

It is possible for discriminatory harassment to occur:

- Among peers or coworkers;
- Between managers and subordinates; or
- Between employees and members of the public.

Employees who experience discrimination or harassment should bring the matter to the attention of the Secretary of State's Affirmative Action Officer or designee. In fulfilling our obligation to maintain a positive and productive work environment, the Affirmative Action Officer or designee and all employees are expected to address or report any suspected harassment or retaliation.

Varying degrees of discriminatory harassment violations can occur and require varying levels of progressive discipline. Individuals who instigate harassment are subject to serious disciplinary actions up to and including suspension, demotion, transfer, or termination. Additionally, inappropriate behaviors that do not rise to the level of discriminatory harassment, but are none the less disruptive, should be corrected early and firmly in the interests of maintaining a barrier-free work place. Individuals who participate in inappropriate behaviors at work are also subject to disciplinary actions.

Any employee or applicant who believes that they have experienced discrimination or harassment based on race, color, creed, religion, national origin, sex, marital status, status with regard to public assistance, membership or activity in a local human rights commission, disability, sexual orientation, or age may file a complaint of discrimination.

Complaints of discrimination or harassment can be filed using the internal complaint procedure included in this Affirmative Action Plan.

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## **VI. COMPLAINT PROCEDURE FOR PROCESSING COMPLAINTS FOR ALLEDGED DISCRIMINATION/HARASSMENT**

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The Office of the Secretary of State has established the following discrimination/harassment complaint procedure to be used by all employees and applicants. Coercion, reprisal, or intimidation against anyone filing a complaint or serving as a witness under this procedure is prohibited.

**Responsibility of Employee:**

All employees shall respond promptly to any and all requests by the Affirmative Action Officer or designee for information and for access to data and records for the purpose of enabling the Affirmative Action Officer or designee to carry out responsibilities under this complaint procedure.

**Who May File:**

Any employees or applicants who believes that they have been discriminated against or harassed by reason of race, color, creed, religion, national origin, sex, marital status, status with regard to public assistance, membership or activity in a local human rights commission, disability, sexual orientation, or age may file a complaint. Employees who are terminated are encouraged to file their internal complaint prior to their actual separation; however, complaints will be taken for a reasonable period of time subsequent to the actual separation date.

**Complaint Procedure:**

The internal complaint procedure provides a method for resolving complaints involving violations of this agency's policy prohibiting discrimination and harassment within the agency. Employees and applicants are encouraged to use this internal complaint process. Retaliation against a person who has filed a complaint either internally or through an outside enforcement agency or other legal channels is prohibited. The Affirmative Action Officer or designee may contact the Office of Diversity and Equal Opportunity if more information is needed about filing a complaint.

**Filing Procedures:**

1. The employee or applicant completes the "Complaint of Discrimination/Harassment Form" provided by the Affirmative Action Officer or designee. Employees are encouraged to file a complaint within a reasonable period of time after the individual becomes aware that a situation may involve discrimination or harassment. The Affirmative Action Officer or designee will, if requested, provide assistance in filling out the form.
2. The Affirmative Action Officer or designee determines if the complaint falls under the purview of Equal Employment Opportunity law, i.e., the complainant is alleging discrimination or harassment on the basis of race, color, creed, religion, national origin, sex, marital status, status with regard to public assistance, membership or activity in a local human rights commission, disability, sexual orientation, or age; or if the complaint is of a general personnel concern. The Affirmative Action Officer or designee shall also discuss other options for resolution, such as the workplace mediation.

OFFICE OF THE SECRETARY OF STATE  
AFFIRMATIVE ACTION PLAN 2016-2018

- If it is determined that the complaint is not related to discrimination but rather to general personnel concerns, the Affirmative Action Officer designee will inform the complainant, in writing, within ten (10) working days.
  - If the complaint is related to discrimination, the Affirmative Action Officer or designee will, within ten (10) working days, contact all parties named as respondents and outline the basic facts of the complaint. The respondents will be asked to provide a response to the allegations within a specific period of time.
3. The Affirmative Action Officer or designee shall then investigate the complaint. At the conclusion of the investigation, the Affirmative Action Officer or designee shall notify the complainants and respondents that the investigation is completed. The Affirmative Action Officer or designee shall then review the findings of the investigation.
- If there is sufficient evidence to substantiate the complaint, appropriate action will be taken.
  - If insufficient evidence exists to support the complaint, a letter will be sent to the complainants and the respondents dismissing the complaint.
4. A written answer will be provided to the parties within sixty (60) days after the complaint is filed. The complainants will be notified should extenuating circumstances prevent completion of the investigation within sixty (60) days.
5. Disposition of the complaint will be filed with the Commissioner of the Minnesota Management and Budget within thirty (30) days after the final determination.
6. All documentation associated with a complaint shall be considered investigative data under the Minnesota Government Data Practices Act. The status of the complaint will be shared with the complainants and respondents. After an investigation is completed and all appeals are exhausted, all documentation is subject to the provisions of the Minnesota Government Data Practices Act.
7. All data collected may at some point become evidence in civil or criminal legal proceedings pursuant to state or federal statutes. An investigation may include, but is not limited to, the following types of data:
- Interviews or written interrogatories with all parties involved in the complaint, i.e., complainants, respondents, and their respective witnesses; officials having pertinent records or files, etc.; and
  - All records pertaining to the case i.e., written, recorded, filmed, or in any other form.
8. The Affirmative Action Officer or designee shall maintain records of all complaints and any pertinent information or data for three (3) years after the case is closed.



## VII. **REASONABLE ACCOMODATION POLICY**

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The Office of the Secretary of State complies with all state and federal laws that prohibit discrimination against qualified individuals with disabilities in all employment practices. It is the policy of the Office of the Secretary of State to reasonably accommodate qualified applicants and employees with disabilities unless to do so would cause an undue hardship or pose a direct threat. The Office of the Secretary of State must provide reasonable accommodation when:

- A qualified applicant with a disability needs an accommodation to have an equal opportunity to compete for a job;
- A qualified employee with a disability needs an accommodation to perform the essential functions of the employee's job; and
- A qualified employee with a disability needs an accommodation to enjoy equal access to benefits and privileges of employment (e.g., trainings, office sponsored events).

### **Applicant**

A person who expresses interest in employment and satisfies the minimum requirements for application established by the job posting and job description.

### **Americans with Disabilities Act (ADA) Coordinator**

This agency is required to appoint an ADA coordinator or designee, depending on agency size, to direct and coordinate agency compliance with Title I of the ADA.

### **Direct Threat**

A significant risk of substantial harm to the health or safety of the individual or others that cannot be eliminated or reduced by reasonable accommodation.

The determination that an individual poses a direct threat shall be based on an individualized assessment of the individual's present ability to safely perform the essential functions of the job.

### **Essential Functions**

Duties so fundamental that the individual cannot do the job without being able to perform them. A function can be essential if:

- The job exists specifically to perform the function(s); or
- There are a limited number of other employees who could perform the function(s); or
- The function(s) is/are specialized and the individual is hired based on the employee's expertise.

## **Interactive Process**

A discussion between the employer and the individual with a disability to determine an effective reasonable accommodation for the individual with a disability. To be interactive, both sides must communicate and exchange information.

## **Individual with a Disability**

An individual who:

- Has a physical, sensory, or mental impairment that substantially limits one or more major life activities; or
- Has a record or history of such impairment; or
- Is regarded as having such impairment.

## **Qualified Individual with a Disability**

An individual who:

- Satisfies the requisite skill, experience, education, and other job-related requirements of the job that the individual holds or desires; and
- Can perform the essential functions of the position with or without reasonable accommodation.

## **Major Life Activities**

May include, but are not limited to, caring for oneself, performing manual tasks, seeing, hearing, eating, sleeping, walking, standing, lifting, bending, speaking, breathing, learning, reading, concentrating, thinking, communicating, and working.

Major life activities also include the operation of a major bodily function, including but not limited to, functions of the immune system, normal cell growth, digestive, bowel, bladder, neurological, brain, respiratory, circulatory, endocrine, and reproductive functions.

## **Medical Documentation**

Information from the requestor's treating provider which is sufficient to enable the employer to determine whether an individual has a disability and whether and what type of reasonable accommodation is needed when the disability or the need for accommodation is not obvious.

Medical documentation can be requested using the standardized [Letter Requesting Documentation for Determining ADA Eligibility from a Medical Provider.](#)

## **Reasonable Accommodation**

An adjustment or alteration that enables a qualified individual with a disability to apply for a job, perform job duties, or enjoy the benefits and privileges of employment. Reasonable accommodations may include:

- Modifications or adjustments to a job application process to permit a qualified individual with a disability to be considered for a job; or
- Modifications or adjustments to enable a qualified individual with a disability to perform the essential functions of the job; or
- Modifications or adjustments that enable qualified employees with disabilities to enjoy equal benefits and privileges of employment.

Modifications or adjustments may include, but are not limited to:

- Providing materials in alternative formats like large print or Braille;
- Providing assistive technology, including information technology and communications equipment, or specially designed furniture;
- Modifying work schedules or supervisory methods;
- Granting breaks or providing leave;
- Altering how or when job duties are performed;
- Removing and/or substituting a marginal function;
- Moving to a different office space;
- Providing telework;
- Making changes in workplace policies;
- Providing a reader or other staff assistant to enable employees to perform their job functions, where a reasonable accommodation cannot be provided by current staff;
- Removing an architectural barrier, including reconfiguring work spaces;
- Providing accessible parking; or
- Providing a reassignment to a vacant position.

## **Reassignment**

Reassignment to a vacant position for which an employee is qualified is a “last resort” form of a reasonable accommodation. This type of accommodation must be provided to an employee, who, because of a disability, can no longer perform the essential functions of the position, with or without reasonable accommodation, unless the employer can show that it will be an undue hardship.

## **Support Person**

Any person an individual with a disability identifies to help during the reasonable accommodation process in terms of filling out paperwork, attending meetings during the interactive process to take notes or ask clarifying questions, or to provide emotional support.

### **Undue Hardship**

A specific reasonable accommodation would require significant difficulty or expense. Undue hardship is always determined on a case-by-case basis considering factors that include the nature and cost of the accommodation requested and the impact of the accommodation on the operations of the agency. A state agency is not required to provide accommodations that would impose an undue hardship on the operation of the agency.

### **General Standards and Expectations**

#### ***Individuals who may request a reasonable accommodation include***

- Any qualified applicant with a disability who needs assistance with the job application procedure or the interview or selection process; or
- Any qualified agency employee with a disability who needs a reasonable accommodation to perform the essential functions of the position; or
- A third party, such as a family member, friend, health professional or other representative, on behalf of a qualified applicant or employee with a disability, when the applicant or employee is unable to make the request for reasonable accommodation. When possible, the agency must contact the applicant or employee to confirm that the accommodation is wanted. The applicant or employee has the discretion to accept or reject the proposed accommodation.

The agency must abide by the Minnesota Government Data Practices Act, Chapter 13, in obtaining or sharing information related to accommodation requests.

#### ***How to request a reasonable accommodation***

An agency applicant or employee may make a reasonable accommodation request to any or all of the following:

- Immediate supervisor or manager in the employee's chain of command;
- Agency Affirmative Action Officer/Designee;
- Agency ADA Coordinator;
- Agency Human Resources Office;

- Any agency official with whom the applicant has contact during the application, interview and/or selection process.

### ***Timing of the request***

An applicant or employee may request a reasonable accommodation at any time, even if the individual has not previously disclosed the existence of a disability or the need for an accommodation. A request is any communication in which an individual asks or states that he or she needs the agency to provide or change something because of a medical condition.

The reasonable accommodation process begins as soon as possible after the request for accommodation is made.

### ***Form of the request***

The applicant or employee is responsible for requesting a reasonable accommodation or providing sufficient notice to the agency that an accommodation is needed.

An initial request for accommodation may be made in any manner (e.g., writing, electronically, in person or orally).

The individual requesting an accommodation does not have to use any special words and does not have to mention the ADA or use the phrase "reasonable accommodation" or "disability."

Oral requests must be documented in writing to ensure efficient processing of requests.

Agency request forms can be found at: "[Employee/Applicant Request for Reasonable Accommodation Form](#)".

When a supervisor or manager observes or receives information indicating that an employee is experiencing difficulty performing the job due to a medical condition or disability, further inquiry may be required. Supervisors or managers should consult with the agency ADA Coordinator for advice on how to proceed.

When an employee needs the same reasonable accommodation on a repeated basis (e.g., the assistance of a sign language interpreter), a written request for accommodation is required the first time only. However, the employee requesting an accommodation must give appropriate advance notice each subsequent time the accommodation is needed. If the accommodation is needed on a regular basis (e.g., a weekly staff meeting), the agency must make appropriate arrangements without requiring a request in advance of each occasion.

### ***The interactive process entails***

Communication is a priority and encouraged throughout the entire reasonable accommodation process. The interactive process is a collaborative process between the employee and/or

applicant and the agency to explore and identify specific reasonable accommodation(s). (For information on the Interactive Process see the U.S. Department of Labor, Job Accommodation Network at <http://askjan.org/topics/interactive.htm>). This process is required when:

- The need for a reasonable accommodation is not obvious;
- The specific limitation, problem or barrier is unclear;
- An effective reasonable accommodation is not obvious;
- The parties are considering different forms of reasonable accommodation;
- The medical condition changes or fluctuates; or,
- There are questions about the reasonableness of the requested accommodation.

The interactive process should begin as soon as possible after a request for reasonable accommodation is made or the need for accommodation becomes known.

The process should ensure a full exchange of relevant information and communication between the individual and the agency. An individual may request that the agency ADA Coordinator, a union representative, or support person be present.

The agency ADA Coordinator shall be consulted when:

- Issues, conflicts or questions arise in the interactive process; and
- Prior to denying a request for accommodation.

### ***Agency responsibilities for processing the request***

As the first step in processing a request for reasonable accommodation, the person who receives the request must promptly forward the request to the appropriate decision maker. At the same time, the recipient will notify the requestor who the decision maker is.

#### **Secretary of State**

The Secretary of State has the ultimate responsibility to ensure compliance with the ADA and this policy and appoint an ADA Coordinator.

#### **ADA Coordinator**

The agency ADA Coordinator is the agency's decision maker for reasonable accommodation requests for all types of requests outside of the supervisors' and managers' authority. The agency ADA Coordinator will work with the supervisor and manager, and where necessary, with agency Human Resources, to implement the approved reasonable accommodation.

#### **Supervisors and Managers**

This agency has the authority to designate the level of management approval needed for reasonable accommodation requests for low-cost purchases. For example:

- Requests for standard office equipment that is needed as a reasonable accommodation and adaptive items costing less than \$100. [Agency can adjust the dollar amount based on its needs]; and
- Requests for a change in a condition of employment such as modified duties, or a change in schedule, or the location and size of an employee's workspace. [Agency can choose to delegate specific requests to supervisors or managers or require these types of requests to work through the agency ADA Coordinator].

### ***Analysis for processing requests***

Before approving or denying a request for accommodation, the agency decision maker with assistance from the agency ADA Coordinator will:

1. Determine if the requestor is a qualified individual with a disability;
2. Determine if the accommodation is needed to:
  - Enable a qualified applicant with a disability to be considered for the position the individual desires;
  - Enable a qualified employee with a disability to perform the essential functions of the position; or
  - Enable a qualified employee with a disability to enjoy equal benefits or privileges of employment as similarly situated employees without disabilities;
3. Determine whether the requested accommodation is reasonable;
4. Determine whether there is a reasonable accommodation that will be effective for the requestor and the agency; and
5. Determine whether the reasonable accommodation will impose an undue hardship on the agency's operations.

An employee's accommodation preference is always seriously considered, but the agency is not obligated to provide the requestor's accommodation of choice, so long as it offers an effective accommodation, or determines that accommodation would cause an undue hardship.

### ***Obtaining medical documentation in connection with a request for reasonable accommodation***

In some cases, the disability and need for accommodation will be reasonably evident or already known, for example, where an employee is blind. In these cases, the agency will not seek further medical documentation. If a requestor's disability and/or need for reasonable accommodation

are not obvious or already known, the agency ADA Coordinator may require medical information showing that the requestor has a covered disability that requires accommodation. The agency ADA Coordinator may request medical information in certain other circumstances. For example, when:

- The information submitted by the requestor is insufficient to document the disability or the need for the accommodation;
- A question exists as to whether an individual is able to perform the essential functions of the position, with or without reasonable accommodation; or
- A question exists as to whether the employee will pose a direct threat to himself/herself or others.

Where medical documentation is necessary, the agency ADA Coordinator must make the request and use the [Letter Requesting Documentation for Determining ADA Eligibility from a Medical Provider](#). The agency ADA Coordinator must also obtain the requestor's completed and signed [Authorization for Release of Medical Information](#).

Only medical documentation specifically related to the employee's request for accommodation and ability to perform the essential functions of the position will be requested. When medical documentation or information is appropriately requested, an employee must provide it in a timely manner, or the agency may deny the reasonable accommodation request. This agency must not request medical records; medical records are not appropriate documentation and cannot be accepted. **Supervisors and managers *must not* request medical information or documentation from an applicant or employee seeking an accommodation.** Such a request will be made by the agency ADA Coordinator, if appropriate.

### ***Confidentiality requirements***

#### Medical Information

Medical information obtained in connection with the reasonable accommodation process must be kept confidential. All medical information obtained in connection with such requests must be collected and maintained on separate forms and in separate physical or electronic files from non-medical personnel files and records. Electronic copies of medical information obtained in connection with the reasonable accommodation process must be stored so that access is limited to only the agency ADA Coordinator. Physical copies of such medical information must be stored in a locked cabinet or office when not in use or unattended. Generally, medical documentation obtained in connection with the reasonable accommodation process should only be reviewed by the agency ADA Coordinator.

The agency ADA Coordinator may disclose medical information obtained in connection with the reasonable accommodation process to the following:



OFFICE OF THE SECRETARY OF STATE  
AFFIRMATIVE ACTION PLAN 2016-2018

- Supervisors, managers or agency HR staff who have a need to know may be told about the necessary work restrictions and about the accommodations necessary to perform the employee's duties. However, information about the employee's medical condition should only be disclosed if strictly necessary, such as for safety reasons;
- First aid and safety personnel may be informed, when appropriate, if the employee may require emergency treatment or assistance in an emergency evacuation;
- To consult with the State ADA Coordinator or Employment Law Counsel at MMB, or the Attorney General's Office about accommodation requests, denial of accommodation requests or purchasing of specific assistive technology or other resources; or
- Government officials assigned to investigate agency compliance with the ADA.

Whenever medical information is appropriately disclosed as described above, the recipients of the information must comply with all confidentiality requirements.

#### Accommodation Information

The fact that an individual is receiving an accommodation because of a disability is confidential and may only be shared with those individuals who have a need to know for purposes of implementing the accommodation, such as the requestor's supervisor and the agency ADA Coordinator.

#### General Information

General summary information regarding an employee's or applicant's status as an individual with a disability may be collected by agency equal opportunity officials to maintain records and evaluate and report on the agency's performance in hiring, retention, and processing reasonable accommodation requests.

#### ***Approval of requests for reasonable accommodation***

As soon as the decision maker determines that a reasonable accommodation will be provided, the agency ADA Coordinator will process the request and provide the reasonable accommodation in as short of a timeframe as possible. The time necessary to process a request will depend on the nature of the accommodation requested and whether it is necessary to obtain supporting information. If an approved accommodation cannot be provided within a reasonable time, the decision maker will inform the requestor of the status of the request before the end of 30 days. Where feasible, if there is a delay in providing the request, temporary measures will be taken to provide assistance.

Once approved, the reasonable accommodation should be documented for record keeping purposes and the records maintained by the agency ADA Coordinator.

***Funding for reasonable accommodations***

The agency must specify how the agency will pay for reasonable accommodations.

***Procedures for reassignment as a reasonable accommodation***

Reassignment to a vacant position is an accommodation that must be considered if there are no effective reasonable accommodations that would enable the employee to perform the essential functions of his/her current job, or if all other reasonable accommodations would impose an undue hardship.

The agency ADA Coordinator will work with agency Human Resources staff and the requestor to identify appropriate vacant positions within the agency for which the employee may be qualified and can perform the essential functions of the vacant position, with or without reasonable accommodation. Vacant positions which are equivalent to the employee's current job in terms of pay, status, and other relevant factors will be considered first. If there are none, the agency will consider vacant lower level positions for which the individual is qualified. The EEOC recommends that the agency consider positions that are currently vacant or will be coming open within at least the next 60 days.

***Denial of requests for reasonable accommodation***

The agency ADA Coordinator must be contacted for assistance and guidance prior to denying any request for reasonable accommodation. The agency may deny a request for reasonable accommodation where:

- The individual is not a qualified individual with a disability;
- The reasonable accommodation results in undue hardship or the individual poses a direct threat to the individual or others. Undue hardship and direct threat are determined on a case-by-case basis with guidance from the agency ADA Coordinator; or
- Where no reasonable accommodation, including reassignment to a vacant position, will enable the employee to perform all the essential functions of the job.

The explanation for denial must be provided to the requestor in writing. The explanation should be written in plain language and clearly state the specific reasons for denial. Where the decision maker has denied a specific requested accommodation, but has offered a different accommodation in its place, the decision letter should explain both the reasons for denying the accommodation requested and the reasons that the accommodation being offered will be effective.

***Consideration of undue hardship***

An interactive process must occur prior to the agency making a determination of undue hardship. Determination of undue hardship is made on a case-by-case basis and only after consultation with the agency's ADA Coordinator. In determining whether granting a reasonable accommodation will cause an undue hardship, the agency considers factors such as the nature and cost of the accommodation in relationship to the size and resources of the agency and the impact the accommodation will have on the operations of the agency.

This agency may deny reasonable accommodations based upon an undue hardship. Prior to denying reasonable accommodation requests due to lack of financial resources, the agency will consult with the State ADA Coordinator at MMB.

***Determining direct threat***

The determination that an individual poses a "direct threat," (i.e., a significant risk of substantial harm to the health or safety of the individual or others) which cannot be eliminated or reduced by a reasonable accommodation, must be based on an individualized assessment of the individual's present ability to safely perform the essential functions of the job with or without reasonable accommodation. A determination that an individual poses a direct threat cannot be based on fears, misconceptions, or stereotypes about the individual's disability. Instead, the agency must make a reasonable medical judgment, relying on the most current medical knowledge and the best available objective evidence.

In determining whether an individual poses a direct threat, the factors to be considered include:

- Duration of the risk;
- Nature and severity of the potential harm;
- Likelihood that the potential harm will occur; and
- Imminence of the potential harm.

***Appeals process in the event of denial***

In addition to providing the requestor with the reasons for denial of a request for reasonable accommodation, this agency must designate a process for review when an applicant or employee chooses to appeal the denial of a reasonable accommodation request. This process:

- Must include review by an agency official;
- May include review by the State ADA Coordinator; and/or
- Must inform the requestor of the statutory right to file a charge with the Equal Employment Opportunity Commission or the Minnesota Department of Human Rights.

***Information tracking and records retention***

This agency must track reasonable accommodations requested and report once a year by September 1<sup>st</sup> to MMB the number and types of accommodations requested, approved, denied and other relevant information.

This agency must retain reasonable accommodation documentation according to the agency's document retention schedule, but in all cases for at least one year from the date the record is made or the personnel action involved is taken, whichever occurs later. 29 C.F.R. § 1602.14.

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**VIII. EVACUATION PROCEDURES FOR INDIVIDUALS WITH DISABILITIES**

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A copy of the Office of the Secretary of State's weather and emergency evacuation plans can be found at:

- State Office Building, **Evacuation routes** are currently posted in the employee break area.
- Empire location, **Evacuation routes**
  - 1) First Floor: posted near door leading to the back hallway and in the Fiscal area
  - 2) Third Floor: posted near the suite door

The plan is also located on the "R" drive.

Knowledge and preparation by both individuals needing assistance and those who don't is key to reducing the impact of emergencies. When developing a plan, safety needs should be determined on a case-by-case basis because it varies with each individual and building.

Everyone has a responsibility to develop their own personal emergency evacuation plan, this includes individuals with disabilities or individuals who will need assistance during evacuation. The Americans with Disabilities Act Coordinator or designee in each agency will work to develop a plan and consult the appropriate building and safety personnel.

Directors, managers, and supervisors should review the emergency evacuation procedures with staff, including informing all staff that if additional assistance may be needed, and individuals with disabilities should contact the agency contact(s) below to request the type of assistance they may need.

SOB location: Yonis Musa-Office Administrator, 651-201-1324, [yonis.musa@state.mn.us](mailto:yonis.musa@state.mn.us)

Empire location: Jenny Kurz-Accounting Supervisor Sr. 651-201-1368 [Jenny.kurz@state.mn.us](mailto:Jenny.kurz@state.mn.us)

**Evacuation Options:**

Individuals with disabilities have four basic, possibly five, evacuation options:

- Horizontal evacuation: Using building exits to the outside ground level or going into unaffected wings of multi-building complexes;

- Stairway evacuation: Using steps to reach ground level exits from building;
- Shelter in place: Unless danger is imminent, remain in a room with an exterior window, a telephone, and a solid or fire resistant door. If the individual requiring special evacuation assistance remains in place, they should dial 911 immediately and report their location to emergency services, who will in turn relay that information to on-site responders. The shelter in place approach may be more appropriate for sprinkler protected buildings where an area of refuge is not nearby or available. It may be more appropriate for an individual who is alone when the alarm sounds;
- Area of rescue assistance: Identified areas that can be used as a means of egress for individuals with disabilities. These areas, located on floors above or below the building's exits, can be used by individuals with disabilities until rescue can be facilitated by emergency responders; and/or
- For agencies equipped with an evacuation chair: Evacuation chairs or a light-weight solution to descending stairways can be used and generally require single user operation. If an agency is equipped with an evacuation chair, best practice indicates that all employees are trained and have practiced evacuating using an evacuation chair.

### **Evacuation Procedures for Individuals with Mobility, Hearing, or Visual Disabilities:**

Individuals with disabilities should follow the following procedures:

- Mobility disabilities (individuals who use wheelchairs or other personal mobility devices ("PMDs")): Individuals using wheelchairs should be accompanied to an area of rescue assistance by an employee or shelter in place when the alarm sounds. The safety and security staff will respond to each of the areas of rescue assistance every time a building evacuation is initiated to identify the individuals in these areas and notify to emergency responders how many individuals need assistance to safely evacuate.
- Mobility disabilities (individuals who do not use wheelchairs): Individuals with mobility disabilities, who are able to walk independently, may be able to negotiate stairs in an emergency with minor assistance. If danger is imminent, the individual should wait until the heavy traffic has cleared before attempting the stairs. If there is no immediate danger (detectable smoke, fire, or unusual odor), the individual with a disability may choose to wait at the area of rescue assistance until emergency responders arrive to assist them.
- Hearing disabilities: The agency's buildings are equipped with fire alarm horns/strobes that sound the alarm and flash strobe lights. The strobe lights are for individuals with who are deaf and/or hard of hearing. Individuals with hearing disabilities may not notice or hear emergency alarms and will need to be alerted of emergency situations.

- Visual disabilities: The agency's buildings are equipped with fire alarm horn/strobes that sound the alarm and flash strobe lights. The horn will alert individuals who are blind or have visual disabilities of the need to evacuate. Most individuals with visual disabilities will be familiar with their immediate surroundings and frequently traveled routes. Since the emergency evacuation route is likely different from the common traveled route, individuals with visual disabilities may need assistance in evacuating. The assistant should offer assistance, and if accepted, guide the individual with a visual disability through the evacuation route.

### **Severe Weather Evacuation Options:**

Individuals with disabilities or who are in need of assistance during an evacuation have three evacuation options based on their location in their building:

- Horizontal evacuation: If located on the ground or basement floor, severe weather shelter areas are located throughout each floor;
- Elevator evacuation: If there are no safe areas above the ground floor, the elevator may be used to evacuate to the ground or basement levels; and/or
- Shelter in Place: Seeking shelter in a designated severe weather shelter and remaining there until the all clear is used.

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## **IX. GOALS AND TIMETABLES**

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Through the utilization analysis, the agency has determined which job categories are underutilized for women, minorities, and individuals with disabilities within the agency and has set the following hiring goals for the next two years (Reference Table 2). Over the plan period of 2016-2018, recruitment efforts will focus on the categories identified as "Not improved"; other underutilized categories will also be considered based on specific job vacancy situations. The hiring goals for 2016-2018 were set based on the current utilization data, anticipated job opportunities over the course of this plan, and historic vacancy filling needs and patterns.

### **Table 2. Underutilization Analysis and Hiring Goals for 2016-2018**

The second, third, and fourth columns of this chart show the number of underutilized individuals of each group in each category at this agency. The fifth, sixth, and seventh columns show the agency's hiring goals for each group in each category.

**Underutilization - # of Individuals**

**Hiring Goals for 2016-2018**

Job Categories	Women	Racial/Ethnic Minorities	Individuals With Disabilities	Women	Racial/Ethnic Minorities	Individuals With Disabilities
Officials/Administrators		1	1		1	1
Professionals	6	2	2	2	1	1
Office/Clerical						

**Goals Framework:**

To begin with, the current Secretary, Steve Simon, has placed a priority on achieving greater diversity in the employee pool. This is demonstrated by broad positive movement towards the 2014 – 2016 goals established in the Office of the Secretary of State Affirmative Action plan set by former Secretary Ritchie and adopted when Secretary Simon came into office. Since January of 2015, the Office has specifically directed SMART Services (HR contractor) staff to prioritize identifying candidates to address any remaining disparities. The result has been the addition of a number of diverse employees. In fact, as a result of these efforts the overall goals recommended for the current plan are approximately 33% lower than the prior affirmative action plan for the Office. This demonstrates a clear improvement in the hiring of diverse candidates in the OSS.

In examining the Office’s ability to attain the new goals set by SMART Services staff in this plan there are a few important observations that deserve noting including:

- First, the assumed composition of the office, and thereby the goals set from that composition, may not reflect the actual workforce of the Office. That is to say, there may be some in our office who for some reason did not identify themselves as falling into one of these categories. The Office will continue to work towards greater diversity but these numbers simply reinforce that there are some in the Office which may already fall into these categories without the Office’s knowledge.
- Second, the new position goals for Officials/Administrators constitute 28.5% of the total positions in that category. This most likely means that at least two of the seven people currently employed in this category would have to leave/retire and be replaced by people who would fulfill the hiring goals. At this time the Office is not aware of any persons with the intent to leave/retire.
- Third, the new position goals for Professional are nearly 8.8% of the total positions in that category, but the universe of positions are largely held in our elections and IT teams which historically have either very low turnover in an administration, as is the case in Elections, or, in the case of IT, have low turnover combined with positions that are far more competitive to fill than nearly any other field, especially when placed against the pay and benefits that the private sector offers.

**Availability:**

The agency determined the recruitment area to be metro area for all jobs categories except Officials/Administrators – that group's recruitment area was determined to be statewide since recruitment for these positions is typically broader (i.e., statewide, and sometimes, nationwide). In conducting its underutilization analysis, the agency used the one and two factor analysis. The agency determined it was best to use the one factor analysis because the agency does not have enough internal promotions to the Professional and Office/Administrators groups and to increase diversity by tapping into external resources.

Underutilization Analysis worksheets are attached in the appendix. Numbers less than 10 are indicated with "<10" in accordance with Minnesota Management and Budget's guidance on data privacy.

**Women:**

The population of women has improved in all three job categories: Officials and Administrators, Professionals and Office Clerical. The agency established a hiring goal for women in the Professional group only during the 2014-2016 Plan, and based on the two factor analysis, did reach its goal in this category. The amount of separations of female employees (i.e., 5 in FY 2015 & 3 in FY 2016) has not offset the amount of new hires in the Professionals and Office Clerical job categories. No separations of women occurred in the Officials and Administrators group. The agency through a variety of recruitment methods which are described in the Recruitment section, has managed to bring more female employees to the organization. The agency will continue its efforts to retain and bring women to the organization.

**Minorities:**

The population of minorities has improved in the following job categories: Professionals and Office/Clerical and has not improved in the Officials and Administrators job category. The agency had hiring goals for the Professionals and Office/Clerical groups which they reached. The agency has managed to retain and increase their numbers of minority employees in these two groups. There were only two separations that had occurred over the last year: one in the Professional group and the second in the Office/Clerical group. The agency will continue recruiting affirmatively for all categories and anticipates to achieve further improvement in the Officials and Administrators and Professionals categories.

**Individuals with Disabilities:**

The population of individuals with disabilities has improved in the Office/Clerical job category and has not improved or remained the same in the following job categories: Officials and Administrators and Professionals. The agency did arrive at the goal in the Office/Clerical



category, but did not arrive at the goal in the Professionals category. One of the challenges is a low number of qualified candidates who identified themselves as having a disability. The separations during the 2014-2016 period did not include employees with disabilities. The agency will continue making efforts in recruiting qualified candidates with disabilities by tapping in the state-developed resources and using recruitment sources targeting disability communities.

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## X. **AFFIRMATIVE ACTION PROGRAM OBJECTIVES**

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In pursuing the Secretary of State Office's commitment to affirmative action, the agency will join efforts with the Human Resources Office for the Department of Administration to take the following actions during 2016-2018:

**Objective #1: Continuous Process Improvement**-continue to implement results of recent CI process evaluation and develop and build new/revised processes.

***Action Steps:***

1. Continue reviewing and improving current practices in the Pre-Employment review process.
2. Educate directors, managers, and supervisors about their role in implementing the affirmative action plan.
3. Communicate with directors, managers, and supervisors "up front" and throughout the selection process regarding diversity-related matters.
4. Utilize effective resources and best practices to ensure that unbiased hiring decisions are made.
5. Monitor and analyze separation data and incorporate findings into the agency's retention plan.
6. Engage directors, managers and supervisors in a dialogue about retention strategies and career path development.
7. Review orientation processes to ensure that diversity needs and expectations are met (content, delivery, location, materials, etc.).
8. Require "Workplace Expectations-Work Relationships, Workplace Values, and Customer Service" in all position descriptions (includes respect for others, knowledge of diversity, etc.).

***Evaluation:***

Most of the objectives were set in the agency's last Affirmative Action Plan and will continue in the new Affirmative Action Plan to ensure the agency's ongoing commitment to the affirmative action/equal employment opportunity. The process improvement initiatives are an ongoing effort in keeping the agency on track with its diversity goals.

**Objective #2: Recruitment Planning** – identify agency recruitment needs, assess current practices and identify best processes to better meet future recruitment needs, and to plan and document recruitment/outreach activities.

**Action Steps:**

1. Develop and implement an active, robust recruitment strategy for each vacancy where disparity exists.
2. Continue utilizing statewide resources available for all relevant vacancies (e.g. MMB's enterprise-wide, diversity focused state-wide list serve; DEED "Job Bank", MN State Government Executive Jobs eblast, etc.).
3. Develop standard and targeted recruitment plans and materials when applicable. Involve managers/supervisors in recruitment planning.
4. Develop and implement a screening question in Recruiting Solutions to track and evaluate recruitment results.
5. Continue partnering with other agencies to meet current and future staffing needs. Actively participate in recruiting events.
6. Partner with a statewide executive recruiter to create awareness of OSS executive-level vacancies within the diverse communities.

**Evaluation:**

Some objectives above were set in the agency's last Affirmative Action Plan and some are new or revised. All objectives will continue in the new Affirmative Action Plan to ensure the agency's ongoing commitment to recruit affirmatively. Due to the recruitment efforts during 2014-2016, the agency reached its hiring goals for minorities, women, and partially for people with disabilities.

**Objective #3: Interns, Trainees, Community Outreach, and internal Outreach-**  
develop multiple means to attract and develop current and future talent pools.

**Action Steps:**

1. Encourage and increase participation in Internship and other student employment programs; promote at the agency level and with managers and supervisors.
2. Develop "talent pipelines" for hard-to-fill positions where relevant and practicable. Currently being done via the "Capitol Pathways Partnership" internship program. CPP is specifically designed to give young people of color working opportunities in state government.
3. Explore use of the St. Paul Public Schools "Focus Beyond" volunteer program for students with disabilities.
4. Explore partnerships with community and technical colleges to fill agency's positions and as a source of interns.
5. Participate in Internship career fairs, Employer-of-the-Day events at DEED, Metro State University's Diversity Networking and Job Fair, etc. - as resources permit.

**Evaluation:**

Most of the objectives were set in the agency's last Affirmative Action Plan and will continue in the new Affirmative Action Plan to ensure the agency's ongoing commitment to build a talent pipeline for its current and future positions and to develop relationships with the communities.

The agency has hired several student workers and has utilized several interns during the 2014-2016 reporting period. The agency continues to see value in exploring a variety of options to bring interns and student workers to the organization.

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**XI. METHODS OF AUDITING, EVALUATING, AND REPORTING PROGRAM SUCCESS**

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**A. Pre-Employment Review Procedure/Monitoring the Hiring Process**

The Secretary of State Office will evaluate its selection process to determine if its requirements unnecessarily screen out a disproportionate number of women, minorities, individuals with disabilities, or veterans. The agency will use the monitoring the hiring process form for every hire to track the number of women, minorities, individuals with disabilities, and veterans in each stage of the selection process. Directors, managers, and supervisors will work closely with human resources and the Affirmative Action Officer in reviewing the requirements for the position, posting the position, and interviewing and selection to ensure that equal opportunity and affirmative action is carried out. Directors, managers, and supervisors will be asked to document their hiring decisions and equal opportunity professionals will review for bias.

Any time the agency does not meet its hiring goals, the agency must justify its non-affirmative action hires in competitive and noncompetitive appointments or takes a missed opportunity. "Missed opportunity" includes failure to justify a non-affirmative action hire. Agency leadership will be asked to authorize the missed opportunity. The agency will report the number of affirmative and non-affirmative hires as well as missed opportunities to Minnesota Management and Budget on a quarterly basis.

When candidates are offered interviews, employees scheduling interviews will describe the interview format to the candidate and provide an invitation to request a reasonable accommodation for individuals with disabilities to allow the candidate equal opportunity to participate in the interview process. For example, describe if interview questions are offered ahead of time or what technology may be used during the interview process. This allows for an individual with a disability to determine if they may need a reasonable accommodation in advance of the interview.

All personnel involved in the selection process will be trained and accountable for the agency's commitment to equal opportunity and the affirmative action program and its implementation.

**B. Pre-Review Procedure for Layoff Decisions**

The Affirmative Action Officer, in conjunction with the agency's human resources office, shall be responsible for reviewing all pending layoffs to determine their effect on the agency's affirmative action goals and timetables.

If it is determined that there is an adverse impact on protected groups, the agency will document the reasons why the layoff is occurring, such as positions targeted for layoff, applicable personnel policies or collective bargaining agreement provisions, or other relevant reasons. The agency will determine if other alternatives are available to minimize the impact on protected groups.

### **C. Other Methods of Program Evaluation**

The agency submits the following compliance reports to Minnesota Management and Budget as part of the efforts to evaluate the agency's affirmative action program:

- Quarterly Monitoring the Hiring Process Reports;
- Biannual Affirmative Action Plan;
- Annual Americans with Disabilities Act Report;
- Annual Internal Complaint Report; and
- Disposition of Internal Complaint (within 30 days of final disposition).

The agency also evaluates the Affirmative Action Plan in the following ways:

- Monitors progress toward stated goals by job category;
- Analyzes employment activity (hires, promotions, and terminations) by job category to determine if there is adverse impact;
- Analyzes compensation program to determine if there are patterns of discrimination;
- Reviews the accessibility of online systems, websites, and ensures that reasonable accommodations can be easily requested; and
- Discusses progress with agency leadership on a periodic basis and makes recommendations for improvement.

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## **XII. RECRUITMENT PLAN**

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The objective of this recruitment plan is to ensure the agency's recruitment programs are publicly marketed, attract, and obtain qualified applicants, enhance the image of state employment, and to assist in meeting the affirmative action goals to achieve a diverse workforce.

Recruitment costs incurred during the 2014-2016 plan year total: \$2,760.00

Below are various recruitment methods or strategies utilized by the agency during the past year and plans for the upcoming plan year 2016-2018.

### **A. Advertising Sources**

The agency used the following array of advertising sources to attract qualified applicants during the 2014-2016 plan period (e.g. websites, job boards, newspapers, memberships, listservs, staffing agencies, etc.): Monster.com, Dice, LinkedIn, Star Tribune (print and online), Council of Nonprofits, MMB's Diversity email list, DEED's MnWorks site, governmentjobs.com, Indeed, a variety of universities' web sites, etc. We will formally evaluate each source used in all future recruitments to assess effectiveness, return on investment, and utility for attracting a diverse pool of applicants.

### **B. Job and Community Fairs**

The agency in coordination with the Department of Admin will continue its participation in job and community fairs to attract qualified applicants during the 2016-2018 plan period based on research and recommendation on the most relevant sources; we will focus our work specifically on any relevant opportunities to partner with MMB and other agencies as resources permit (e.g. job fairs, community events, conferences, etc.) – e.g. annual Human Rights Conference, DEED Employer of the Day, U of MN Job and Internship Fair, Dunwoody Job Fair, Veteran's Job Fair, etc. We will formally evaluate each source used in all future recruitments to assess effectiveness, return on investment, and utility for attracting a diverse pool of applicants.

### **C. College and University Recruitment Events**

Admin HR staff attended the following college/university recruitment events during the 2014-2016 plan period (college job fairs, mock interviews, employer panels, college/classroom visits, etc.): Minnesota Private College Council's Job Fair; University of Minnesota's College of Liberal Arts Job Fair; University of Minnesota's IT Symposium, Dunwoody Institute's career/teach representatives, etc. HR services should also place a premium on two year colleges in addition to four year higher ed resources. We will formally evaluate each college/university source used in all future recruitments to assess effectiveness, return on investment, and utility for attracting a diverse pool of applicants.

### **D. Recruitment for Individuals with Disabilities**

Review of job postings for physical and sensory requirements and ensure that qualifications in job postings are inclusive and do not pose any unnecessary barriers. The agency will review all job postings for physical and sensory requirements and determine if the qualifications for the position are job-related and consistent with business necessity. Additionally, our agency will edit language pertaining to physical and sensory requirements and change this language to reflect more inclusive language for job qualifications.

1) Self-Identification

At the time of application and once a year, the agency will communicate to our employees that we collect summary data related to the number of individuals who have applied for positions and who are in our workforce. We will inform employees that we collect this summary data to make determinations about where we need to improve in terms of recruitment, selection, or retention of individuals with disabilities.

2) Supported Employment (M.S. 43A.191, Subd. 2(d))

- a. The agency supports the employment of individuals with disabilities and will review vacant positions to determine if job tasks can be performed by a supported employment worker. We will work with community organizations that provide employment services to individuals with disabilities to recruit for these positions.
- b. Implement and ensure that reviews of positions are taking place. We will work with VRS or the MMB State ADA Coordinator to assist us in our efforts.

3) 700-Hour Program

Where possible, our agency will utilize the 700-hour program which allows our agency to hire an individual with a disability and provide them training. At the end of this period, our agency can hire the individual.

4) Accessibility Matters Campaign

Our agency will distribute marketing material and resources to our staff to remind them to create accessible electronic documents and systems, so that employees with disabilities coming into the workforce can contribute to the workforce and will be able to access similar information and resources as other employees.

5) Reasonable Accommodations

We will prominently display on our career site that we will provide reasonable accommodation to qualified individuals with a disability who apply for our positions where needed. Once hired, we will educate employees, supervisors, and managers on accommodating employees in the workplace.

6) Strategic Partnerships

Our agency will build strategic partnerships with DEED - Vocational Rehabilitation Services ("VRS"), DEED - State Services for the Blind ("SSB"), and other state agency partners to conduct job evaluations and to assist in recruitment or referral of candidates to open positions. Our agency will work to inform VRS or SSB when a position is posted or prior to a posting, if possible, about the positions. Additionally, we will post positions for at least 7 days to ensure equal opportunity to apply for the position.

7) Self-Analysis

Our agency will conduct periodic self-checks to determine if our systems or documents are accessible, language in our job postings is inclusive, and reasonable

accommodations have been provided and staff have been trained on how to provide reasonable accommodations.

8) Reporting

Our agency will conduct a quarterly analysis of the number of individuals with disabilities who have applied for positions and the number of individuals with disabilities hired.

### **E. Relationship Building and Outreach**

- Developed contacts and ongoing relationships to promote and select students for the Star of the North Fellowship and other internship programs (e.g. with the Humphrey Institute).
- Participated in U of M College of Liberal Arts job fair.
- Should also work to include two year and computer focused schools in outreach.

We will formally evaluate each sourced used in all future recruitments to assess effectiveness, return on investment, and utility for attracting a diverse pool of applicants. We will continue to identify and forge new relationships as opportunities become available.

### **F. Internships**

Encourage and increase participation in Internship and other student employment programs; promote at the agency level and with managers and supervisors. This is currently being done via the Capitol Pathways Partnership intern program amongst others. CPP is specifically designed to give young people of color working opportunities in state government.

### **G. Supported Employment (M.S. 43A.191, Subd. 2(d))**

The agency supports the employment of individuals with disabilities and will review vacant positions to determine if job tasks can be performed by a supported employment worker. We will work with community organizations that provide employment services to individuals with disabilities to recruit for these positions.

### **H. Additional Recruitment Activities**

We will continue use of job boards, college/university programs, high school programs (Right Track); and begin to explore opportunities to post all vacancies on the office's main web page and the State of Minnesota's SharePoint site.

However, resource constraints limit our ability to expand recruitment efforts across all job categories (i.e., existing funding and staff resources are limited); efforts should be focused on targeted needs and opportunities for recruiting in partnership with other State agencies.

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### XIII. **RETENTION PLAN**

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The agency is committed to not just the recruitment of women, minorities, individuals with disabilities, and veterans, but also to the retention of these protected groups.

#### **A. Individual(s) Responsible for the Agency's Retention Program/Activities**

Human Resources in partnership with managers and supervisors.

#### **B. Separation and Retention Analysis by Protected Groups**

Dismissal/non-certification, resignation, retirement, and termination without rights data groups were reviewed for patterns and/or extreme values. There were total of 12 separations over the reporting period of 2014-2016. The turnover rate in FY15-FY16 was at 14.1%. Separation patterns are generally at similar rates between groups, except for resignations which constituted 66.67% of all separations. The numbers are generally very small and don't readily yield significant data results nor comparative insight. The agency will continue to monitor separations of protected group employees to see if there are any patterns that develop. The detailed Separation and Retention report by protected groups is included in the Appendix.

#### **C. Methods of Retention of Protected Groups**

Explore feasibility and develop one or more of the following:

- Create employee resource groups.
- Continue to create learning/education opportunities related to diversity and cultural competence.
- Continue to review and ensure accessibility as possible.
- Continue to conduct, analyze, and use the results of engagement surveys to develop, correct, or revise practices.
- Create and implement networking and/or mentoring opportunities.
- Evaluate current orientation process to ensure the agency's practices create a welcoming orientation.



APPENDIX

**Complaint of Discrimination/Harassment Form**

Agency Name  
 Street Address  
 City, State Zip Code  
 Telephone Number

**Please Read Before Completion of Form**

Any complaint of discrimination/harassment is considered confidential data under Minnesota Statute 13.39, Subd. 1 and 2. This information is being collected for the purpose of determining whether discrimination/harassment has occurred. You are not legally required to provide this information, but without it, an investigation cannot be conducted. This information may only be released to the Affirmative Action Officer or designee, the complainant, the respondent and appropriate personnel.

Complainant (You)		
Complainant's Name	Job Title	
Work Address	Telephone	
Agency	Division	Manager

Respondent (Individual Who Discriminated Against/Harassed You)		
Respondent's Name	Respondent's Job Title	
Respondent's Work Address	Respondent's Telephone	
Respondent's Agency	Division	Manager

The Complaint		
<b>Basis of Complaint</b>		
Place an "X" in the box for all that apply:		
<input type="checkbox"/> Race	<input type="checkbox"/> Disability	<input type="checkbox"/> Sexual Orientation
<input type="checkbox"/> Sex	<input type="checkbox"/> Marital Status	<input type="checkbox"/> Status with Regard to Public Assistance
<input type="checkbox"/> Age	<input type="checkbox"/> National Origin	<input type="checkbox"/> Membership or Activity in a Local Human Rights Commission
<input type="checkbox"/> Color	<input type="checkbox"/> Creed	<input type="checkbox"/> Religion
Date most recent act of discrimination/harassment took place:		If you filed this complaint with another agency, give the name of that agency:
Describe how you believe that you have been discriminated/harassed against (names, dates, places, etc.). Use a separate sheet of paper if needed and attach to this form.		

Information on Witnesses Who Can Support Your Case		
Witness Names	Witness Work Addresses	Witness Work Telephones

OFFICE OF THE SECRETARY OF STATE  
AFFIRMATIVE ACTION PLAN 2016-2018

Additional witnesses may be listed in "Additional Information" or on a separate sheet attached to this form.		

This complaint is being filed on my honest belief that the State of Minnesota has discriminated against/harassed me. I hereby certify that the information I have provided in this complaint is true, correct, and complete to the best of my knowledge and belief.

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Complainant Signature

Date

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Affirmative Action Officer Signature

Date

## Employee/Applicant Request for ADA Reasonable Accommodation Form



**State of Minnesota – OFFICE OF THE SECRETARY OF STATE**  
**Employee/Applicant Request for ADA Reasonable Accommodation Form**

The State of Minnesota is committed to complying with the Americans with Disabilities Act (“ADA”) and the Minnesota Human Rights Act (“MHRA”). To be eligible for an ADA accommodation, you must be 1) qualified to perform the essential functions of your position and 2) have a disability that limits a major life activity or function. The ADA Coordinator/Designee will review each request on an individualized case-by-case basis to determine whether or not an accommodation can be made.

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Employee Name

Job Title

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Work Location

Data Privacy Statement: This information may be used by your agency human resources representative, ADA Coordinator or designee, your agency legal counsel, or any other individual who is authorized by your agency to receive medical information for purposes of providing reasonable accommodations under the ADA and MHRA. This information is necessary to determine whether you have a disability as defined by the ADA or MHRA, and to determine whether any reasonable accommodation can be made. The provision of this information is strictly voluntary; however, if you refuse to provide it, your agency may refuse to provide a reasonable accommodation.

### Questions to clarify accommodation requested.

1. What specific accommodation are you requesting?
2. If you are not sure what accommodation is needed, do you have any suggestions about what options we can explore.
  - a. If yes, please explain.

**Questions to document the reason for the accommodation request** *(please attach additional pages if necessary).*

1. What, if any job function are you having difficulty performing?
2. What, if any employment benefit are you having difficulty accessing?
3. What limitation as result of your physical or mental impairment is interfering with your ability to perform your job or access an employment benefit?
4. If you are requesting a specific accommodation, how will that accommodation be effective in allowing you to perform the functions of your job?

**Information Pertaining to Medical Documentation**

In the context of assessing an accommodation request, medical documentation may be needed to determine if the employee has a disability covered by the ADA and to assist in identifying an effective accommodation.

The ADA Coordinator or designee in each agency is tasked with collecting necessary medical documentation. In the event that medical documentation is needed, the employee will be provided with the appropriate forms to submit to their medical provider. The employee has the responsibility to ensure that the medical provider follows through on requests for medical information.

**This authorization does not cover, and the information to be disclosed should not contain, genetic information. "Genetic Information" includes: Information about an individual's genetic tests; information about genetic tests of an individual's family members; information about the manifestation of a disease or disorder in an individual's family members (family medical history); an individual's request for, or receipt of, genetic services, or the participation in clinical research that includes genetic services by the individual or a family member of the individual; and genetic information of a fetus carried by an individual or by a pregnant woman who is a family member of the individual and the genetic information of any embryo legally held by the individual or family member using an assisted reproductive technology.**

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Employee Signature

Date

## **Agency Profile and Organizational Chart**

The following information describes of the work of the Office of the Secretary of State. An organizational chart is also attached within this Plan's APPENDIX. NOTE: The Affirmative Action Officer reports directly to the Deputy Commissioner of Administration and indirectly to the Secretary of State for affirmative action matters; the ADA functions and ADA Officer report directly to the HR Director.

The Minnesota Constitution provides that the secretary of state is an officer in the executive department; to qualify as secretary of state a person must be a qualified voter, at least 21 years old, and elected by the people ([Article V](#)). The secretary of state is an independently elected public official. There are approximately 65 staff in the office. The core functions of the office are:

- Administration of elections
- Registering businesses
- Recording of business documents and financing statements
- Preserving official state documents
- Administering an address confidentiality program

In 2015, the office recorded 60,520 new business filings in Minnesota. For the 2016–2017 biennium, the office projects collecting \$33 million in business filings and other fees which are deposited into the state's general fund.

The office is also the keeper of the original chapter laws passed each legislative session and signed by the various officers of the Legislature such as the president of the Senate, speaker of the House, chief clerk of the House, and secretary of the Senate, as well as the secretary of state and (in most cases) the governor.

The Secretary of State is a constitutional office in the executive branch of state government. The secretary is vested with a number of responsibilities, including administration of elections, providing certain business services to the public, preserving documents filed with the state, publishing the Legislative Manual, maintaining the recording of financing statements, and administering an address confidentiality program.

## **Elections Division**

The division is responsible for administration of election law and partners with county auditors, and city and township officials in the administration of elections. The division operates the Statewide Voter Registration System and prepares the official roster of voters for each election. It also:

- Provides information on voter registration, elections, military/overseas voting, absentee ballots, polling place locations and elections results
- Certifies voting systems
- Conducts administrative recounts

OFFICE OF THE SECRETARY OF STATE  
AFFIRMATIVE ACTION PLAN 2016-2018

- Accepts filings by candidates for federal and state offices
- Trains local election officials
- Creates training material for election judges

### **Business Services Division**

The division reviews, approves and files of incorporation and amendments for all business and nonprofit organizations conducting business in the state. It provides many online and walk-in services for Minnesota businesses, including business name filings and searches, filing articles and renewals, and ordering copies and certificates. The division also:

- Records assumed business names, limited partnerships, limited liability companies and limited liability partnerships
- Provides business data to the public
- Accepts applications for legal newspaper status

### **Uniform Commercial Code (UCC) Division**

The division maintains records filed under UCC regarding collateral pledged to secure loans. It administers the Central Notification System (CNS) for farm product liens, and files and maintains statewide federal tax liens.

### **Notary Services**

The unit processes notary public applications, renewals and changes, and maintains a notary database.

### **Safe at Home Address Confidentiality Program**

This program assists survivors of domestic violence and other who fear for their safety by providing a mail-forwarding service allowing them to interact with public and private entities without revealing their actual address.

### **Open Appointments**

The office processes applications for vacancies on various state boards, councils and committees.

**ORGANIZATION:** The Office's organizational structure is outlined within the Organization Chart included within this Plan.

OFFICE OF THE SECRETARY OF STATE  
AFFIRMATIVE ACTION PLAN 2016-2018

**Underutilization Analysis Worksheets**

**Secretary of State (Two Factor Analysis)**

**JOB CATEGORY AVAILABILITY/UTILIZATION/UNDERUTILIZATION ANALYSIS & ANNUAL GOALS**

Worksheet for comparing incumbency to availability and setting goals to correct underutilization

WOMEN									
Job Categories	Total Employees in Job Group	Total Number of Women in Group	% of Women in the Group	Availability %	Availability Number	AAP 2016-2018 Number Underutilized	AAP 2014-2016 Underutilized	Improved, Not Improved, Same	Numerical Difference in the Two Plans
Officials/Administrators	8	4	50.00%	40.49%	3	-1	0	Improved	
Professionals	46	19	41.30%	49.66%	23	4	8	Improved	
Office/Clerical	30	29	96.67%	68.94%	21	-5	0	Improved	
Totals	84	52	61.90%						

MINORITIES									
Job Categories	Total Employees in Job Group	Total Number of Minorities in Group	% of Minorities in the Group	Availability %	Availability Number	AAP 2016-2018 Number Underutilized	AAP 2014-2016 Underutilized	Improved, Not Improved, Same	Numerical Difference in the Two Plans
Officials/Administrators	8	0	0.00%	7.38%	1	1	0	Not Improved	
Professionals	46	4	8.70%	11.26%	5	1	4	Improved	
Office/Clerical	30	5	16.67%	14.11%	4	-1	1	Improved	
Totals	84	9	10.71%						

INDIVIDUALS WITH DISABILITIES									
Job Categories	Total Employees in Job Group	Total Number of Individ. with Disabilities in Group	% of Individ. w/ Disabilities in the Group	Availability %	Availability Number	AAP 2016-2018 Number Underutilized	AAP 2014-2016 Underutilized	Improved, Not Improved, Same	Numerical Difference in the Two Plans
Officials/Administrators	8	0	0.00%	7.00%	1	1	0	Not Improved	
Professionals	46	1	2.17%	7.00%	3	2	2	Not Improved	
Office/Clerical	30	2	6.67%	7.00%	2	0	3	Improved	
Totals	84	3	3.57%						

Source: American Fact Finder, operated by the U.S. Census Bureau. Labor Statistics for women and minorities compiled from the American Community Survey (2006-2010), released in March of 2013. Statistics for individuals with disabilities are taken from OFCCP (Office of Federal Contract Compliance Programs) and are based upon data derived from the American Community Surveys (2006-2010).



OFFICE OF THE SECRETARY OF STATE  
AFFIRMATIVE ACTION PLAN 2016-2018

Secretary of State (One-Factor Analysis)

JOB CATEGORY AVAILABILITY/UTILIZATION/UNDERUTILIZATION ANALYSIS & ANNUAL GOALS

Worksheet for comparing incumbency to availability and setting goals to correct underutilization.

WOMEN									
Job Categories	Total Employees in Job Group	Total Number of Women in Group	% of Women in the Group	Availability %	Availability Number	AAP 2016-2018 Number Underutilized	AAP 2014-2016 Underutilized	Improved, Not Improved, Same	Numerical Difference in the Two Plans
Officials/Administrators	8	4	50.00%	40.20%	3	-1	0	Improved	
Professionals	46	19	41.30%	53.70%	25	6	8	Improved	
Office/Clerical	30	29	96.67%	61.30%	18	-11	0	Improved	
Totals	84	52	61.90%						

MINORITIES									
Job Categories	Total Employees in Job Group	Total Number of Minorities in Group	% of Minorities in the Group	Availability %	Availability Number	AAP 2016-2018 Number Underutilized	AAP 2014-2016 Underutilized	Improved, Not Improved, Same	Numerical Difference in the Two Plans
Officials/Administrators	8	0	0.00%	7.60%	1	1	0	Not improved	
Professionals	46	4	8.70%	12.50%	6	2	4	Improved	
Office/Clerical	30	5	16.67%	13.40%	4	-1	1	Improved	
Totals	84	9	10.71%						

INDIVIDUALS WITH DISABILITIES									
Job Categories	Total Employees in Job Group	Total Number of Indiv. w/ Disabilities in Group	% of Indiv. w/ Disabilities in the Group	Availability %	Availability Number	AAP 2016-2018 Number Underutilized	AAP 2014-2016 Underutilized	Improved, Not Improved, Same	Numerical Difference in the Two Plans
Officials/Administrators	8	0	0.00%	7.00%	1	1	0	Not improved	
Professionals	46	1	2.17%	7.00%	3	2	2	Not improved	
Office/Clerical	30	2	6.67%	7.00%	2	0	3	Improved	
Totals	84	3	3.57%						

Source: American Fact Finder, operated by the U.S. Census Bureau. Labor Statistics for women and minorities compiled from the American Community Survey (2006-2010), released in March of 2013. Statistics for individuals with disabilities are taken from OFCCP (Office of Federal Contract Compliance Programs) and are based upon data derived from the American Community Surveys (2006-2010).

### Separation Analysis by Protected Groups Worksheets

Secretary of State  
SEPARATION ANALYSIS

Worksheet for conducting separation analysis of protected group members as total separations and in each job category.

TOTAL SEPARATIONS								
Types of Separation	Total Number	Total Percentage	Total Number of Women	Percentage of Women	Total Number of Minorities	Percentage of Minorities	Total Number of Indiv w/Disabilities	Percentage of Indiv w/Disabilities
Dismissal or Non-Certification	1	8.33%	1	100.00%	0	0.00%	0	0.00%
Resignations	8	66.67%	6	75.00%	2	25.00%	0	0.00%
Enhanced Separation	0	0.00%	0	0.00%	0	0.00%	0	0.00%
Retirement	1	8.33%	0	0.00%	0	0.00%	0	0.00%
Deaths	0	0.00%	0	0.00%	0	0.00%	0	0.00%
Lay-off	0	0.00%	0	0.00%	0	0.00%	0	0.00%
Termination without Rights	2	16.67%	1	50.00%	0	0.00%	0	0.00%
<b>Total Separations</b>	<b>12</b>	<b>100.00%</b>	<b>8</b>	<b>66.67%</b>	<b>2</b>	<b>16.67%</b>	<b>0</b>	<b>0.00%</b>

OFFICIALS/ADMINISTRATORS								
Types of Separation	Total Number	Total Percentage	Total Number of Women	Percentage of Women	Total Number of Minorities	Percentage of Minorities	Total Number of Indiv w/Disabilities	Percentage of Indiv w/Disabilities
Dismissal or Non-Certification	0	0.00%	0	0.00%	0	0.00%	0	0.00%
Resignations	0	0.00%	0	0.00%	0	0.00%	0	0.00%
Enhanced Separation	0	0.00%	0	0.00%	0	0.00%	0	0.00%
Retirement	0	0.00%	0	0.00%	0	0.00%	0	0.00%
Deaths	0	0.00%	0	0.00%	0	0.00%	0	0.00%
Lay-off	0	0.00%	0	0.00%	0	0.00%	0	0.00%
Termination without Rights	1	100.00%	0	0.00%	0	0.00%	0	0.00%
<b>Total Separations</b>	<b>1</b>	<b>100.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>

PROFESSIONALS								
Types of Separation	Total Number	Total Percentage	Total Number of Women	Percentage of Women	Total Number of Minorities	Percentage of Minorities	Total Number of Indiv w/Disabilities	Percentage of Indiv w/Disabilities
Dismissal or Non-Certification	0	0.00%	0	0.00%	0	0.00%	0	0.00%
Resignations	5	100.00%	0	0.00%	1	20.00%	0	0.00%
Enhanced Separation	0	0.00%	0	0.00%	0	0.00%	0	0.00%
Retirement	0	0.00%	0	0.00%	0	0.00%	0	0.00%
Deaths	0	0.00%	0	0.00%	0	0.00%	0	0.00%
Lay-off	0	0.00%	0	0.00%	0	0.00%	0	0.00%
Termination without Rights	0	0.00%	0	0.00%	0	0.00%	0	0.00%
<b>Total Separations</b>	<b>5</b>	<b>100.00%</b>	<b>0</b>	<b>0.00%</b>	<b>1</b>	<b>20.00%</b>	<b>0</b>	<b>0.00%</b>

OFFICE/CLERICAL								
Types of Separation	Total Number	Total Percentage	Total Number of Women	Percentage of Women	Total Number of Minorities	Percentage of Minorities	Total Number of Indiv w/Disabilities	Percentage of Indiv w/Disabilities
Dismissal or Non-Certification	1	16.67%	1	100.00%	0	0.00%	0	0.00%
Resignations	3	50.00%	3	100.00%	0	0.00%	0	0.00%
Enhanced Separation	0	0.00%	0	0.00%	0	0.00%	0	0.00%
Retirement	1	16.67%	0	0.00%	0	0.00%	0	0.00%
Deaths	0	0.00%	0	0.00%	0	0.00%	0	0.00%
Lay-off	0	0.00%	0	0.00%	0	0.00%	0	0.00%
Termination without Rights	1	16.67%	1	100.00%	0	0.00%	0	0.00%
<b>Total Separations</b>	<b>6</b>	<b>100.00%</b>	<b>5</b>	<b>83.33%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>

## Other Relevant Agency Information, Policies, or Documents

### EVACUATION: Building locations and Contacts for Evacuation of Individuals with Disabilities

- 1. Business Services, UCC, CNS & Notary Services** **CONTACT:** **Jenny Kurz-Accounting**  
**Supervisor Sr. 651-201-1368** [Jenny.Kurz@state.mn.us](mailto:Jenny.Kurz@state.mn.us)

Retirement Systems of Minnesota Building  
60 Empire Drive, Suite 100  
St. Paul, MN 55103

Phone: Metro - (651) 296-2803  
Greater Minnesota: 1-877-551-6767  
Email: [secretary.state@state.mn.us](mailto:secretary.state@state.mn.us)

- 2. Administration, Elections and Open Appointments** **CONTACT:** **Fue Lee-Office**  
**Administrator 651-201-1324** [Fue.Lee@state.mn.us](mailto:Fue.Lee@state.mn.us)

180 State Office Building  
100 Rev. Dr. Martin Luther King Jr. Blvd.  
Saint Paul, MN 55155-1299  
Email: [secretary.state@state.mn.us](mailto:secretary.state@state.mn.us)  
Phone: (651) 201-1324 – Administration  
(651) 215-1440 OR 1-877-600-VOTE (8983)

OFFICE OF THE SECRETARY OF STATE  
AFFIRMATIVE ACTION PLAN 2016-2018

